



TRANS-WORLD COMPLIANCE, INC. FATCA ONE™

FATCA compliance simplified.



IRS REPORTING REQUIREMENTS

- Reporting Requirements Review
- Model 1, Model 2, and Model 1, Option 2
- The IRS Reporting Process
- Using systems as a solution



IRS REPORTING REQUIREMENTS

- Reporting Requirements Review
- Model 1, Model 2, and Model 1, Option 2
- The IRS Reporting Process
- Using systems as a solution



8966 FATCA FORM

Part 1: Filer and Sponsors

Part 3: US Owners

Form 8966 FATCA Report, Page 1. Includes sections for Filer Identification, Account Holder or Payee Information, Identifying Information of U.S. Owners, and Financial Information.

Form 8966 Pooled Reporting Type, Page 2. Includes checkboxes for Pooled Reporting Type and a table for reporting details.

Part 5: Pooled Reporting

Part 2: Account Holder

Part 4: Financial Information

8966 FATCA FORM

Form 8966 Completion

FILER	SEPARATE FORM 8966 FOR EACH	COMPLETE PARTS
PFFI	U.S. account held by a specified U.S. person	I, II, and IV
	Substantial U.S. owner of a passive NFFE (passive NFFE is holder of the U.S. account)	I, II, III, and IV
	Specified U.S. person owning certain equity or debt interests in an ODFFI for which you act as a designated withholding agent	I, II, III, and IV
	Account held by nonparticipating FFI (unless pooled reporting is applicable)	I, II, IV
	Pooled Reporting for recalcitrant account holders (or non-consenting U.S. accounts) or nonparticipating FFIs	I and V
WITHHOLDING AGENT	Specified U.S. person owning certain equity or debt interests in an ODFFI to which the withholding agent makes a withholdable payment	I, II, III, and IV
	Substantial U.S. owner of a passive NFFE to which the withholding agent makes a withholdable payment	I, II, III, and IV
DIRECT REPORTING NFFE	Substantial U.S. owner of a direct reporting NFFE	I, II, III, and IV



SIMPLE EXAMPLE 1

Problem: Bank A has a US customer David Olenzak with a savings account balance of \$40,320 and a checking account balance of \$18,975.

David Olenzak	Savings	Account #343583	\$40,320
David Olenzak	Checking	Account #892423	\$18,975



SIMPLE EXAMPLE 1

Problem: Bank A has a US customer David Olenzak with a savings account balance of \$40,320 and a checking account balance of \$18,975.

David Olenzak	Savings	Account #343583	\$40,320
David Olenzak	Checking	Account #892423	\$18,975

We need two FATCA 8966 forms submitted.

FATCA Form Part 1: Reporting Institution is Bank A information

FATCA Form Part 2: Account Holder is David Olenzak

FATCA Form Part 3: (blank)

FATCA Form Part 4: Financial Information will be Account #343583 for \$40,320

FATCA Form Part 1: Reporting Institution is Bank A information

FATCA Form Part 2: Account Holder is David Olenzak

FATCA Form Part 3: (blank)

FATCA Form Part 4: Financial Information will be Account #892423 for \$18,975



HIERARCHY EXAMPLE 1

Problem: Bank A has a customer XYZ Company, a Passive NFFE with an account balance of \$340,900. XYZ Company has three substantial US owners: Aaron, David, and Moe.

XYZ Company	\$340,900
└─ Aaron	(none)
└─ David	(none)
└─ Moe	(none)



US INTERNAL REVENUE SERVICE (IRS) CODE

- **A. Income Taxes (sections 1 through 1564)**
 - Chapter 1—NORMAL TAXES AND SURTAXES (sections 1 through 1400U3)
 - Chapter 2—TAX ON SELF-EMPLOYMENT INCOME (sections 1401 through 1403)
 - **Chapter 3—WITHHOLDING OF TAX ON NONRESIDENT ALIENS AND FOREIGN CORPORATIONS (sections 1441 through 1464)**
 - **Chapter 4—TAXES TO ENFORCE REPORTING ON CERTAIN FOREIGN ACCOUNTS (sections 1471–1474)**
 - Chapter 5—Repealed (sections 1491-1494)
 - Chapter 6—CONSOLIDATED RETURNS (sections 1501 through 1564)
- B. Estate and Gift Taxes (sections 2001 through 2801)
- C. Employment Taxes (sections 3101 through 3510)
- D. Miscellaneous Excise Taxes (sections 4001 through 5000)
- E. Alcohol, Tobacco, and Certain Other Excise Taxes (sections 5001 through 5891)
- F. Procedure and Administration (sections 6001 through 7874)
- G. The Joint Committee on Taxation (sections 8001 through 8023)
- H. Financing of Presidential Election Campaigns (sections 9001 through 9042)
- I. Trust Fund Code (sections 9500 through 9602)
- J. Coal Industry Health Benefits (sections 9701 through 9722)
- K. Group Health Plan Requirements (sections 9801 through 9834)



W8-BEN-E

Part I Identification of Beneficial Owner				
1 Name of organization that is the beneficial owner	2 Country of incorporation or organization			
<input type="text"/>	<input type="text"/>			
3 Name of disregarded entity receiving the payment (if applicable)				
<input type="text"/>				
4 Chapter 3 Status (entity type) (Must check one box only):				
<input type="checkbox"/> Simple trust	<input type="checkbox"/> Grantor trust	<input type="checkbox"/> Corporation	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Partnership
<input type="checkbox"/> Central Bank of Issue	<input type="checkbox"/> Tax-exempt organization	<input type="checkbox"/> Complex trust	<input type="checkbox"/> Estate	<input type="checkbox"/> Government
	<input type="checkbox"/> Private foundation			
If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes" complete Part III.				
<input type="checkbox"/> Yes <input type="checkbox"/> No				



W8-BEN-E

5 Chapter 4 Status (FATCA status) (Must check one box only unless otherwise indicated). (See instructions for details and complete the certification below for the entity's applicable status).

- Nonparticipating FFI (including a limited FFI or an FFI related to a Reporting IGA FFI other than a registered deemed-compliant FFI or participating FFI).
- Participating FFI.
- Reporting Model 1 FFI.
- Reporting Model 2 FFI.
- Registered deemed-compliant FFI (other than a reporting Model 1 FFI or sponsored FFI that has not obtained a GIIN).
- Sponsored FFI that has not obtained a GIIN. Complete Part IV.
- Certified deemed-compliant nonregistering local bank. Complete Part V.
- Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.
- Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.
- Certified deemed-compliant limited life debt investment entity. Complete Part VIII.
- Certified deemed-compliant investment advisors and investment managers. Complete Part IX.
- Owner-documented FFI. Complete Part X.
- Restricted distributor. Complete Part XI.
- Nonreporting IGA FFI (including an FFI treated as a registered deemed-compliant FFI under an applicable Model 2 IGA). Complete Part XII.
- Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII.
- International organization. Complete Part XIV.
- Exempt retirement plans. Complete Part XV.
- Entity wholly owned by exempt beneficial owners. Complete Part XVI.
- Territory financial institution. Complete Part XVII.
- Nonfinancial group entity. Complete Part XVIII.
- Excepted nonfinancial start-up company. Complete Part XIX.
- Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX.
- 501(c) organization. Complete Part XXI.
- Nonprofit organization. Complete Part XXII.
- Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.
- Excepted territory NFFE. Complete Part XXIV.
- Active NFFE. Complete Part XXV.
- Passive NFFE. Complete Part XXVI.
- Excepted inter-affiliate FFI. Complete Part XXVII.
- Direct reporting NFFE.
- Sponsored direct reporting NFFE. Complete Part XXVIII.



W8-BEN-E

Part XXVI Passive NFFE

40a I certify that the entity identified in Part I is a foreign entity that is not a financial institution (other than an investment entity organized in a possession of the United States) and is not certifying its status as a publicly traded NFFE (or affiliate), excepted territory NFFE, active NFFE, direct reporting NFFE, or sponsored direct reporting NFFE.

Check box 40b or 40c, whichever applies.

b I further certify that the entity identified in Part I has no substantial U.S. owners, or

c I further certify that the entity identified in Part I has provided the name, address, and TIN of each substantial U.S. owner of the NFFE in Part XXX.



TWC's FATCA ONE™

Part XXX Substantial U.S. Owners of Passive NFFE

As required by Part XXVI, provide the name, address, and TIN of each substantial U.S. owner of the NFFE. Please see instructions for definition of substantial U.S. owner.

Name	Address	TIN



TWC's FATCA ONE™

Part XXX Substantial U.S. Owners of Passive NFFE

As required by Part XXVI, provide the name, address, and TIN of each substantial U.S. owner of the NFFE. Please see instructions for definition of substantial U.S. owner.

Name	Address	TIN
Aaron Quade	123 Main St. Rockville MD 20850 USA	111-00-0001
Moe Bashir	215 Wolftrap Court Vienna VA 22182 USA	222-00-0002
David Olenzak	461 Foxchase Lane Media PA 19063 USA	333-00-0003



HIERARCHY EXAMPLE 1

Problem: Bank A has a customer XYZ Company, a Passive NFFE with an account balance of \$340,900. XYZ Company has three substantial US owners: Aaron, David, and Moe.

XYZ Company	\$340,900
└─ Aaron	(none)
└─ David	(none)
└─ Moe	(none)



HIERARCHY EXAMPLE 1

Problem: Bank A has a customer XYZ Company, a Passive NFFE with an account balance of \$340,900. XYZ Company has three substantial US owners: Aaron, David, and Moe.

XYZ Company	\$340,900
└─ Aaron	(none)
└─ David	(none)
└─ Moe	(none)

We need three FATCA 8966 forms submitted (one for each substantial US owner).
FATCA Form Part 1: Reporting Institution is Bank A information
FATCA Form Part 2: Account Holder is XYZ Company
FATCA Form Part 3: US owners will be Aaron, then David, then Moe
FATCA Form Part 4: Financial Information will be XYZ Company account information



HIERARCHY EXAMPLE 2

Problem: Trust Administrator A only performs back office administration and is considered an Active NFFE. It offers to extend its client's contracts to provide FATCA reporting services. The Scrooge McDuck Trust takes up the offer and has Three US beneficiaries: Huey, Dewey, and Louie.

Scrooge McDuck	\$4,000,000	Account value
└─ Huey	\$10,000	Disbursement
└─ Dewey	\$30,000	Disbursement
└─ Louie	\$5,000	Disbursement

HIERARCHY EXAMPLE 2

Problem: Trust Administrator A only performs back office administration and is considered an Active NFFE. It offers to extend its client’s contracts to provide FATCA reporting services. The Scrooge McDuck Trust takes up the offer and has Three US beneficiaries: Huey, Dewey, and Louie.

Scrooge McDuck	\$4,000,000	Account value
┌ Huey	\$10,000	Disbursement
┌ Dewey	\$30,000	Disbursement
┌ Louie	\$5,000	Disbursement

- We need three FATCA 8966 forms submitted (one for each substantial US owner).
- FATCA Form Part 1: Reporting Institution is The Scrooge McDuck Trust information
- FATCA Form Part 2: Account Holder is The Scrooge McDuck Trust
- FATCA Form Part 3: US owners will be Huey, then Dewey, then Louie
- FATCA Form Part 4: Financial Information will be Account Balance and disbursements

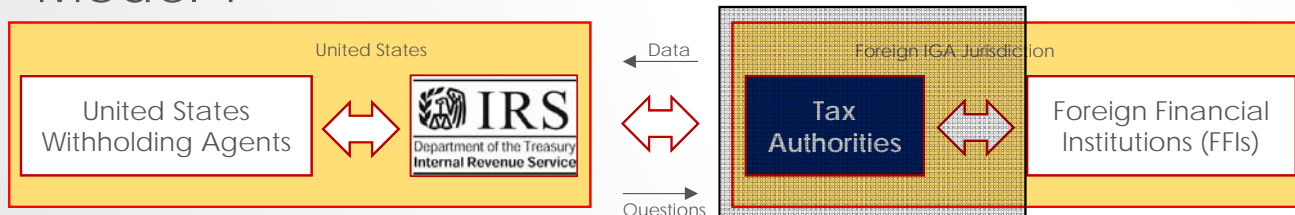


IRS REPORTING REQUIREMENTS

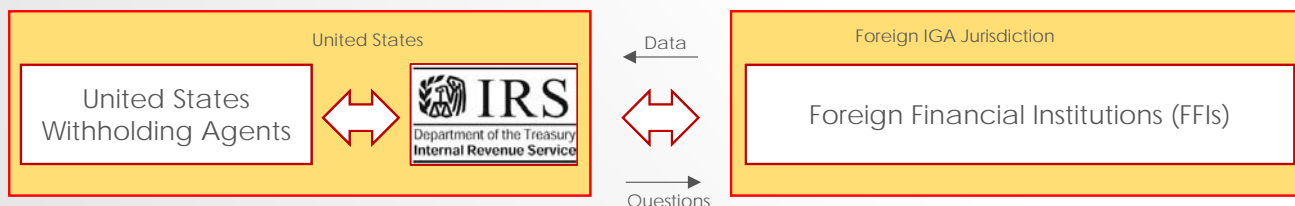
- Reporting Requirements Review
- Model 1, Model 2, and Model 1, Option 2
- The IRS Reporting Process
- Using systems as a solution

MODEL 1 AND MODEL 2

Model 1

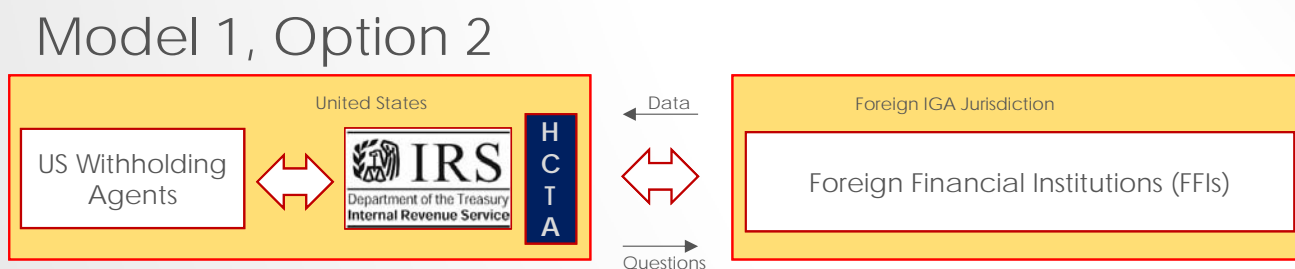


Model 2



Remember some agreements (such as Canada and Mexico) are reciprocal.

MODEL 1, OPTION 2



In Model 2, Option 1, the local tax authority uses the IRS reporting computers. FFIs report directly to the IRS and data is “embargoed” for seven days to give the Host Country Tax Authorities time to approve data.



IRS REPORTING REQUIREMENTS

- Reporting Requirements Review
- Model 1, Model 2, and Model 1, Option 2
- **The IRS Reporting Process**
- Using systems as a solution

8966 FORM DATA CONVERSION INTO XML

Form 8966	FATCA Report	OMB No. 1545-0046
Department of the Treasury Internal Revenue Service	Information about Form 8966 and its separate instructions is at www.irs.gov/form8966 .	2014
Check if report is being corrected, amended, or voided Corrected report <input type="checkbox"/> Amended report <input type="checkbox"/> Voided report <input type="checkbox"/>		
Part I Identification of Filer		
1 Name of filer		
2 Number, street, and room or suite no. (if P.O. box, see instructions)		
3a City or town	3b State/Province/Region	3c Country (including postal code)
4 Global Intermediary Identification Number (GIIN)		
5 TIN		
6 Name of Sponsored Entity or Intermediary, if applicable		
7 Number, street, and room or suite no. (if P.O. box, see instructions)		
8a City or town	8b State/Province/Region	8c Country (including postal code)
9 GIIN		
10 TIN		
Part II Account Holder or Payee Information		
1 Name of Account Holder or Payee		
2 Number, street, and room or suite no. (if P.O. box, see instructions)		
3a City or town	3b State/Province/Region	3c Country (including postal code)
4 TIN		
5 If account holder or payee is an entity, check applicable box to specify the entity's type: <input type="checkbox"/> Owner (domesticated FFI with specified U.S. ownership) <input type="checkbox"/> Passive NFFE with substantial U.S. ownership <input type="checkbox"/> Non-Participating FFI <input type="checkbox"/> Specified U.S. Person <input type="checkbox"/> Check Reporting NFFE		
Part III Identifying Information of U.S. Owners that are Specified U.S. Persons		
1 Name of Owner		
2 Number, street, and room or suite no. (if P.O. box, see instructions)		
3a City or town	3b State/Province/Region	3c Country (including postal code)
4 TIN of Owner		
Part IV Financial Information		
1 Account Number		
2 Currency Code		
3 Account Balance		
4a Interest		
4b Gross proceeds/Redemptions		
4c Dividends		
4d Other		
For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 52149F Form 8966 (2014)		



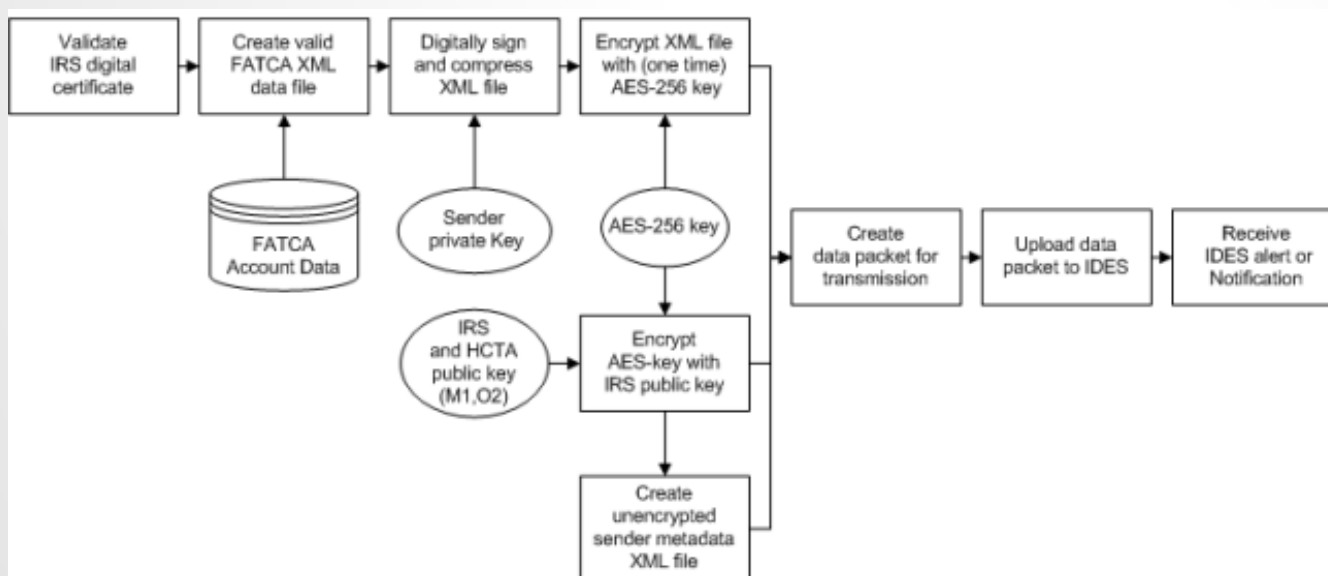
```
<?xml version="1.0" encoding="UTF-8" standalone="true"?>
- <ns2:FATCA_OECD xsi:schemaLocation="urn:oe.cd:ties:fatca:v1 FatcaXML_v1.1.xsd" version="1.1"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xmlns:ns2="urn:oe.cd:ties:fatca:v1"
  xmlns="urn:oe.cd:ties:stfatcatypes:v1">
- <ns2:MessageSpec>
  <SendingCompanyIN>C34VPZ.00000.SP.840</SendingCompanyIN>
  <TransmittingCountry>US</TransmittingCountry>
  <ReceivingCountry>US</ReceivingCountry>
  <MessageType>FATCA</MessageType>
  <Warning/>
  <MessageRefId>Test | Test Group | test@test.com | Test 7 | 2015-05-30 | 17:22:56</MessageRefId>
  <ReportingPeriod>2015-05-30</ReportingPeriod>
  <Timestamp>2015-05-30T17:22:56.708-04:00</Timestamp>
</ns2:MessageSpec>
- <ns2:FATCA>
- <ns2:ReportingFI>
  <ResCountryCode>US</ResCountryCode>
  <TIN issuedBy="US">46-5297306</TIN>
  <Name>Transworld Compliance Inc.</Name>
- <Address>
  <CountryCode>US</CountryCode>
  <AddressFree>2145 Wolftrap Court Vienna, VA 22182</AddressFree>
</Address>
- <ns2:DocSpec>
  <ns2:DocTypeIndic>FATCA11</ns2:DocTypeIndic>
  <ns2:DocRefId>test@test.com | 52 | 901283A | 2 | 2015-05-30 | 17:22:56</ns2:DocRefId>
</ns2:DocSpec>
</ns2:ReportingFI>
- <ns2:ReportingGroup>
- <ns2:AccountReport>
  - <ns2:DocSpec>
    <ns2:DocTypeIndic>FATCA11</ns2:DocTypeIndic>
    <ns2:DocRefId>test@test.com | 52 | 901283A | 1 | 2015-05-30 | 17:22:56</ns2:DocRefId>
  </ns2:DocSpec>
  <ns2:AccountNumber>401-0001</ns2:AccountNumber>
```

IRS IDES PROCESS



Data Submission Process

IRS IDES DATA SUBMISSION PROCESS





IRS SYSTEM SUBMISSION PROCESS

- Step 1: Prepare and validate the FATCA XML file; digitally sign the file. Use SHA2-256 hash algorithm and your private SSL key and an W3C XML enveloping signature syntax.
- Step 2: Compress the FATCA XML file with a compatible zip utility.
- Step 3: Encrypt the FATCA XML file with AES-256 key. AES encryptions should use ECB-256 cipher mode, no salt, with a key size of 32 bytes and PKCS#5 or PCKCS#7 padding.



IRS SYSTEM SUBMISSION PROCESS

- Step 4: Encrypt AES key with the public key of the recipient.
Encrypt the AES key using PKCS#1 v1.5 and 2048 bit RSA algorithm.
- Step 5: Create sender metadata.
Use the "FATCA Metadata XML Schema v1.0 User Guide" to create metadata XML file.
- Step 6: Create the transmission file.
Zip the metadata file, encrypted XML file, and encrypted key.
- Step 7: Transmit the .zipped file.



IRS REPORTING REQUIREMENTS

- Reporting Requirements Review
- Model 1, Model 2, and Model 1, Option 2
- The IRS Reporting Process
- **Using systems as a solution**

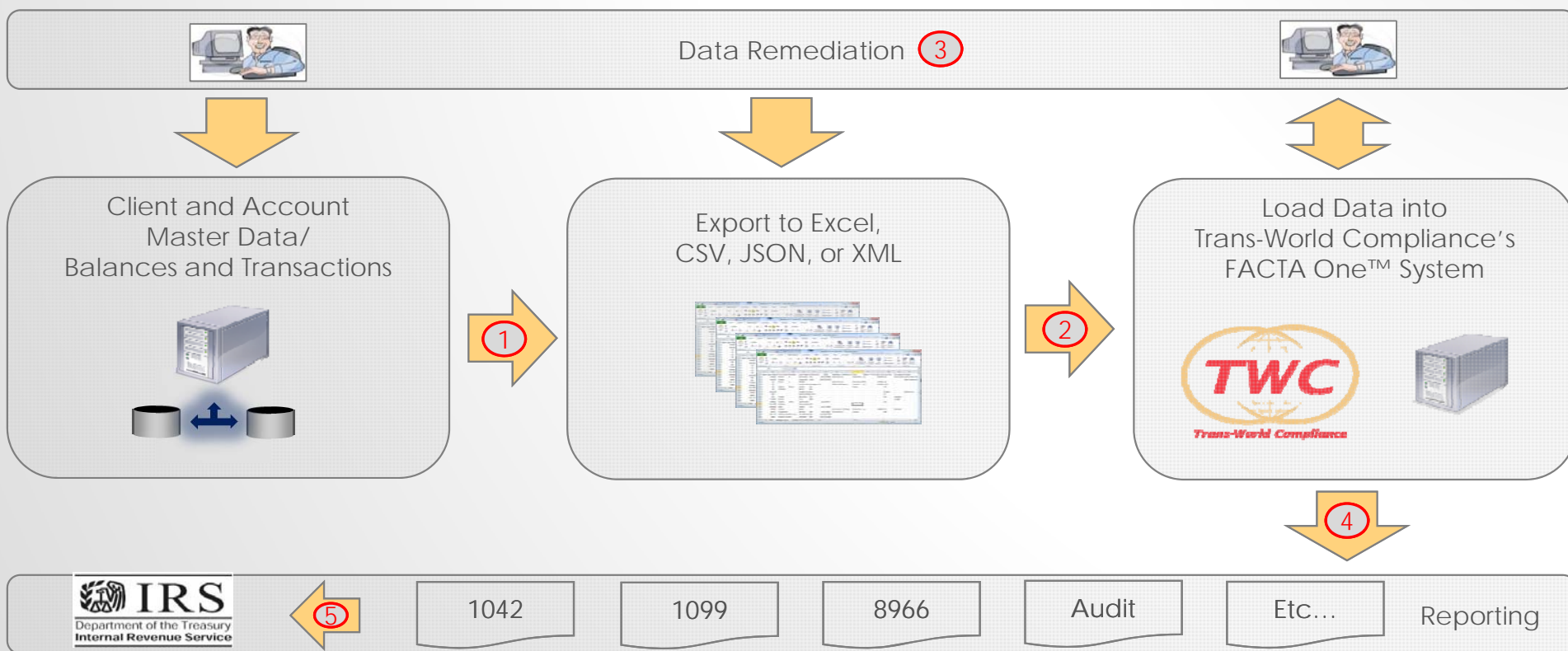


INTRODUCING FATCA ONE™

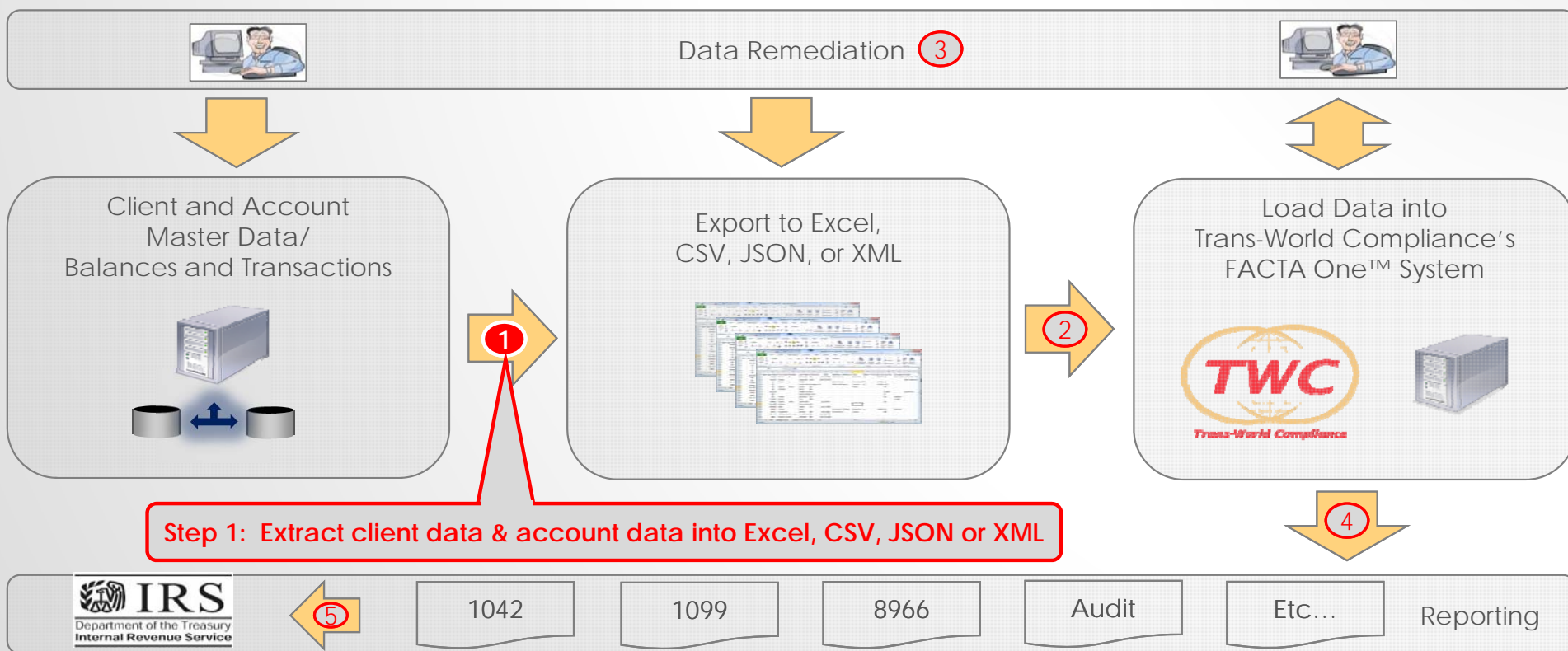
- Introduction to Trans World Compliance, Inc.
- Assists with FATCA, UK FATCA, and CRS compliance
- Has multiple Rule Bases for a customized and localized solution including local tax reporting for IGA Model 1
- Supports the FATCA rules by flagging rows and fields
- Utilizes technology best practices for scalability and security
- Currently available as a hosted or installed solution

FATCA compliance simplified.

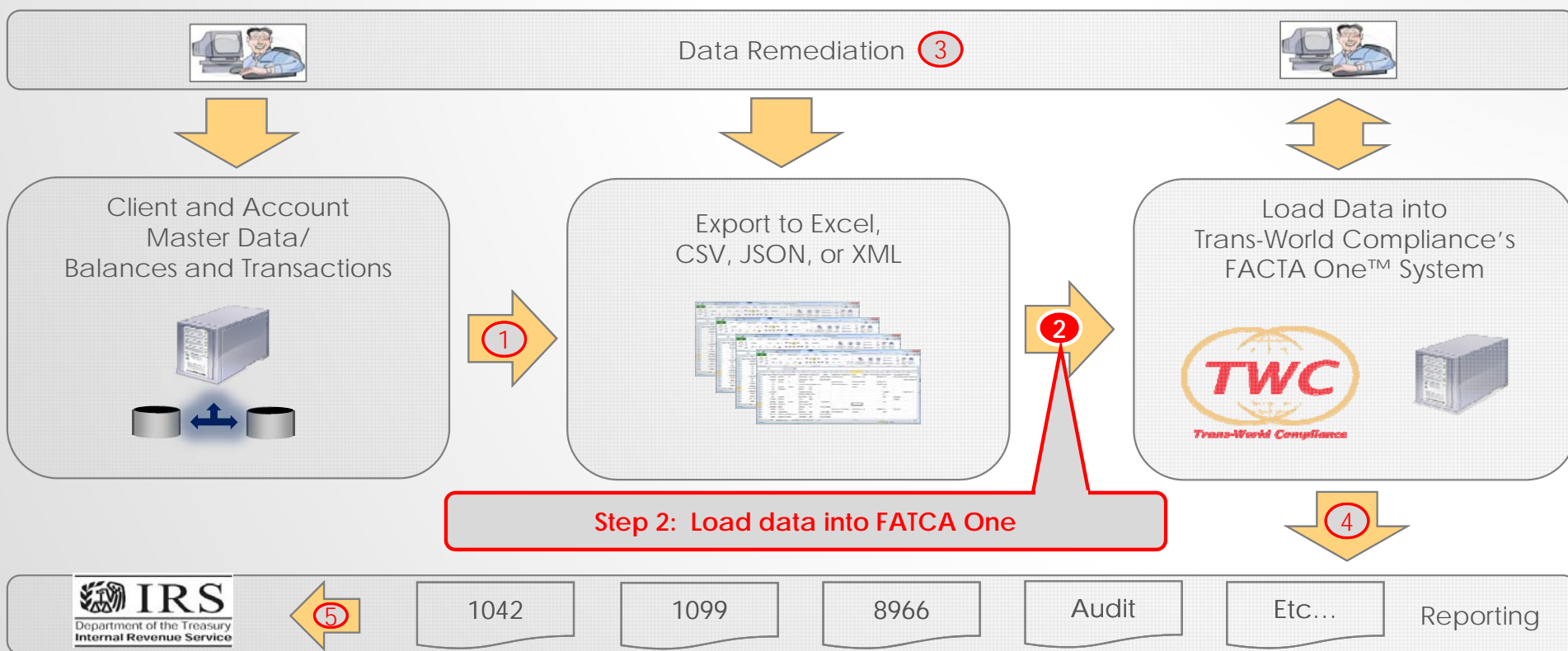
FATCA ONE™ WORKFLOW



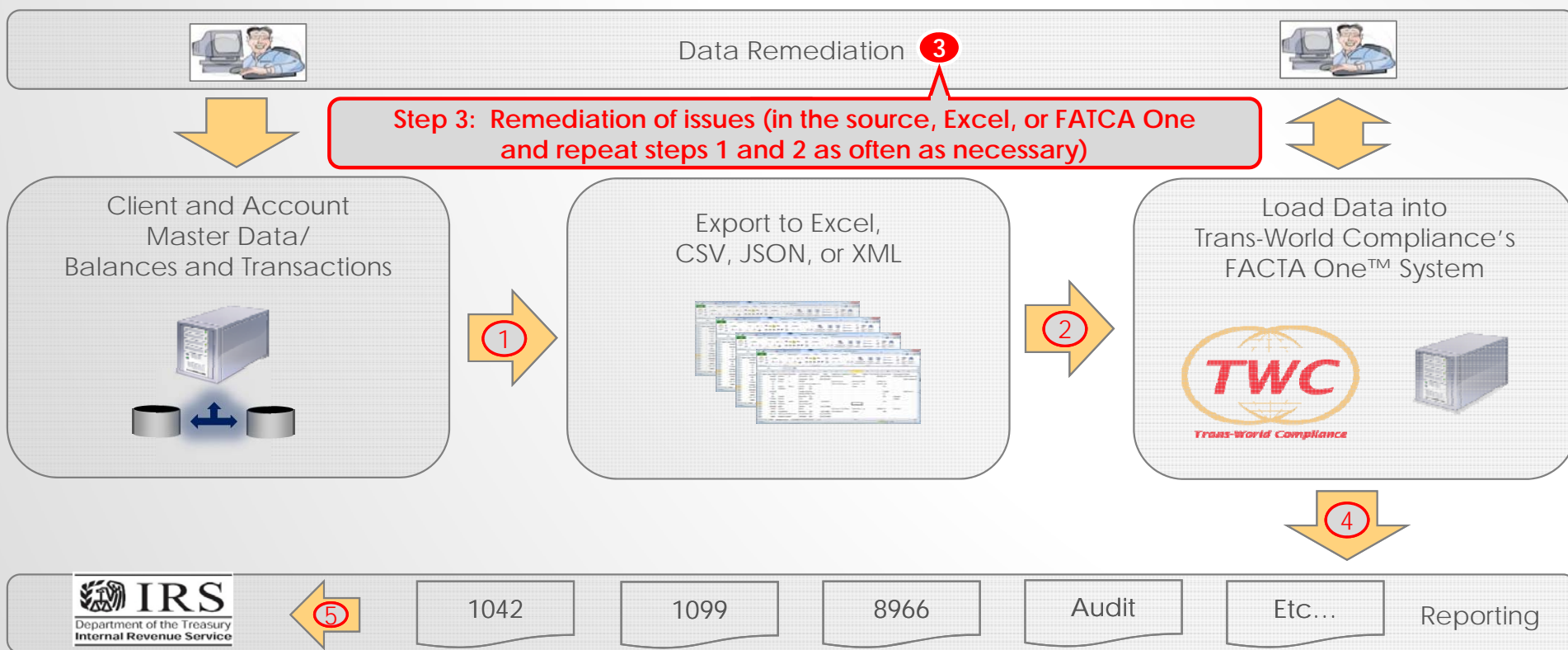
FATCA ONE™ WORKFLOW



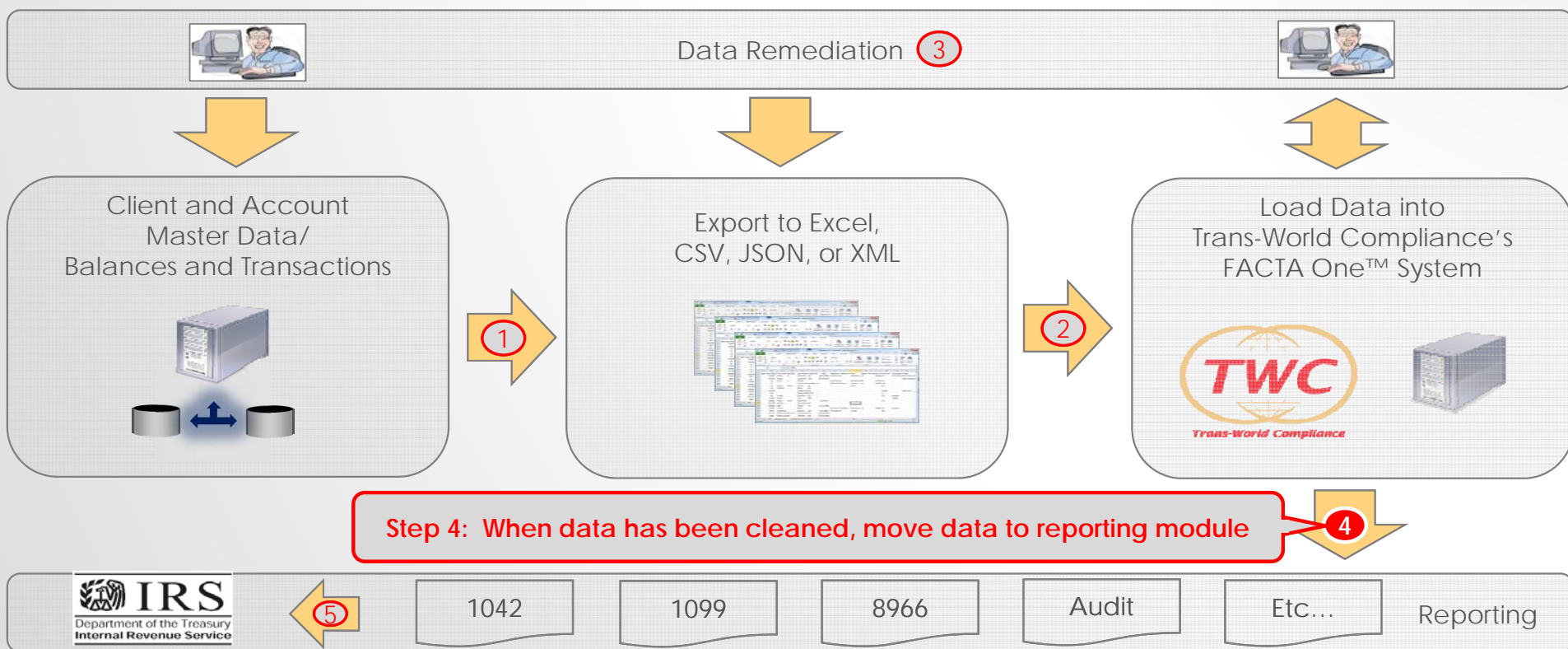
FATCA ONE™ WORKFLOW



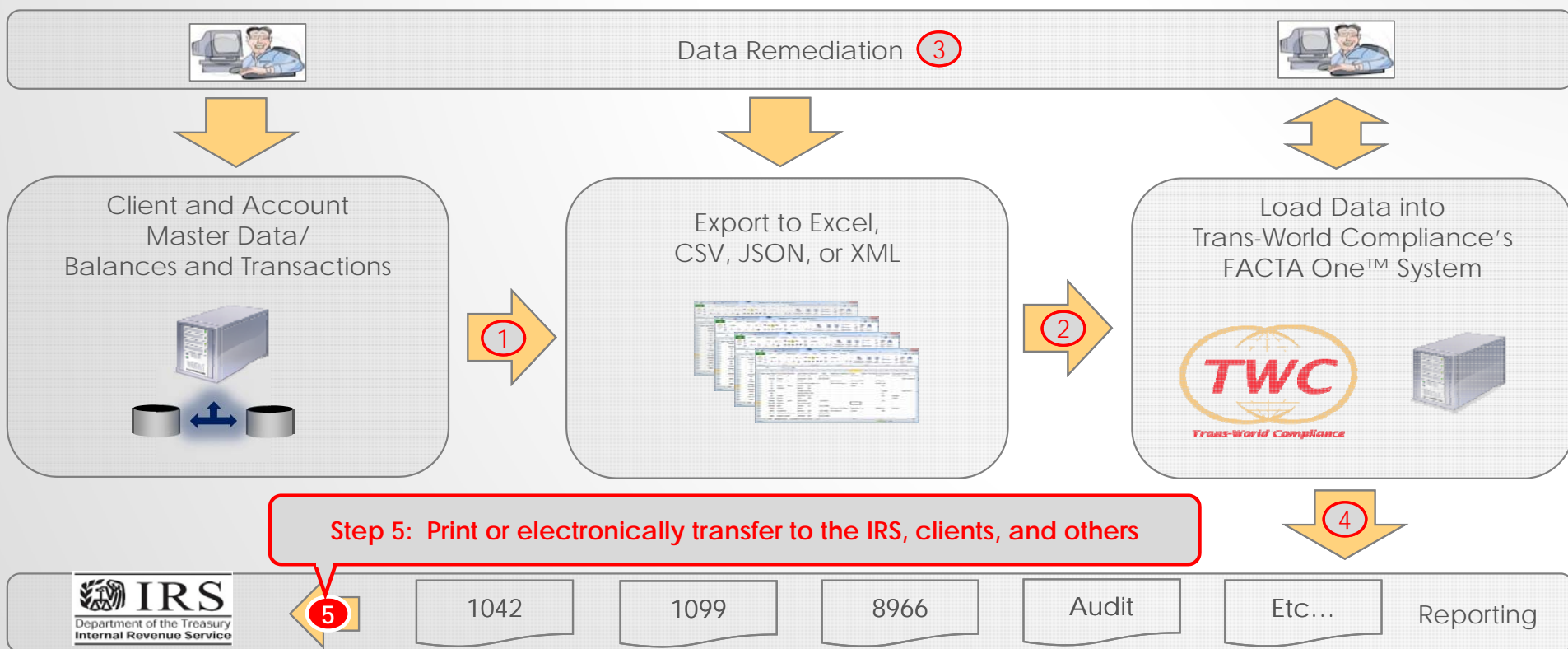
FATCA ONE™ WORKFLOW



FATCA ONE™ WORKFLOW



FATCA ONE™ WORKFLOW





HIERARCHY EXAMPLE 1

Problem: Bank A has a customer XYZ Company, a Passive NFFE with an account balance of \$340,900. XYZ Company has three substantial US owners: Aaron, David, and Moe.

XYZ Company	\$340,900
└─ Aaron	(none)
└─ David	(none)
└─ Moe	(none)

We need three FATCA 8966 forms submitted (one for each substantial US owner).
FATCA Form Part 1: Reporting Institution is Bank A information
FATCA Form Part 2: Account Holder is XYZ Company
FATCA Form Part 3: US owners will be Aaron, then David, then Moe
FATCA Form Part 4: Financial Information will be XYZ Company account information



HIERARCHY EXAMPLE 1

Table Contents

Entity:

Unique ID	Secondary ID	Entity Name	Entity Jurisdiction	GIN
5653067		XYZ Company	KY	

Individual:

Unique ID	Secondary ID	First Name	Middle Name	Last Name	Date Of Birth	City/Town/Providence of Birth	Country of Birth	Citizenship
5653068		Aaron		Quade				US
5653069		Moe		Bashir				US
5653070		David		Olenzak				US

Accounts:

Unique ID	Account ID	Type	Insurance?	TaxYear	Currency Type	Amount
5653067		Account Balance	N		USD	340900

Hierarchy:

Parent Unique ID	Parent Secondary ID	Child Unique ID	Child Secondary ID	Comment	Reportable? Y(es, just GINN) / N(o, use parent) / S(ponsor)
5653067		5653068			Y
5653067		5653069			Y
5653067		5653070			Y

HIERARCHY EXAMPLE 2

Problem: Trust Administrator A only performs back office administration and is considered an Active NFFE. It offers to extend its client’s contracts to provide FATCA reporting services. The Scrooge McDuck Trust takes up the offer and has Three US beneficiaries: Huey, Dewey, and Louie.

Scrooge McDuck	\$4,000,000	Account value
┌ Huey	\$10,000	Disbursement
┌ Dewey	\$30,000	Disbursement
┌ Louie	\$5,000	Disbursement

- We need three FATCA 8966 forms submitted (one for each substantial US owner).
- FATCA Form Part 1: Reporting Institution is The Scrooge McDuck Trust information
- FATCA Form Part 2: Account Holder is The Scrooge McDuck Trust
- FATCA Form Part 3: US owners will be Huey, then Dewey, then Louie
- FATCA Form Part 4: Financial Information will be Account Balance and disbursements

HIERARCHY EXAMPLE 2

Table Contents

Entity:

Unique ID	Secondary Id	Entity Name	Entity Jurstiction	GIN
5653067		Scrooge McDuck Trust	KY	784560.00000.840.BG

Individual:

Unique ID	Secondary ID	First Name	Middle Name	Last Name	Date Of Birth	City/Town/Providence of Birth	Country of Birth	Citizenship
5653068		Huey		McDuck				US
5653069		Dewey		McDuck				US
5653070		Louis		McDuck				US

Accounts:

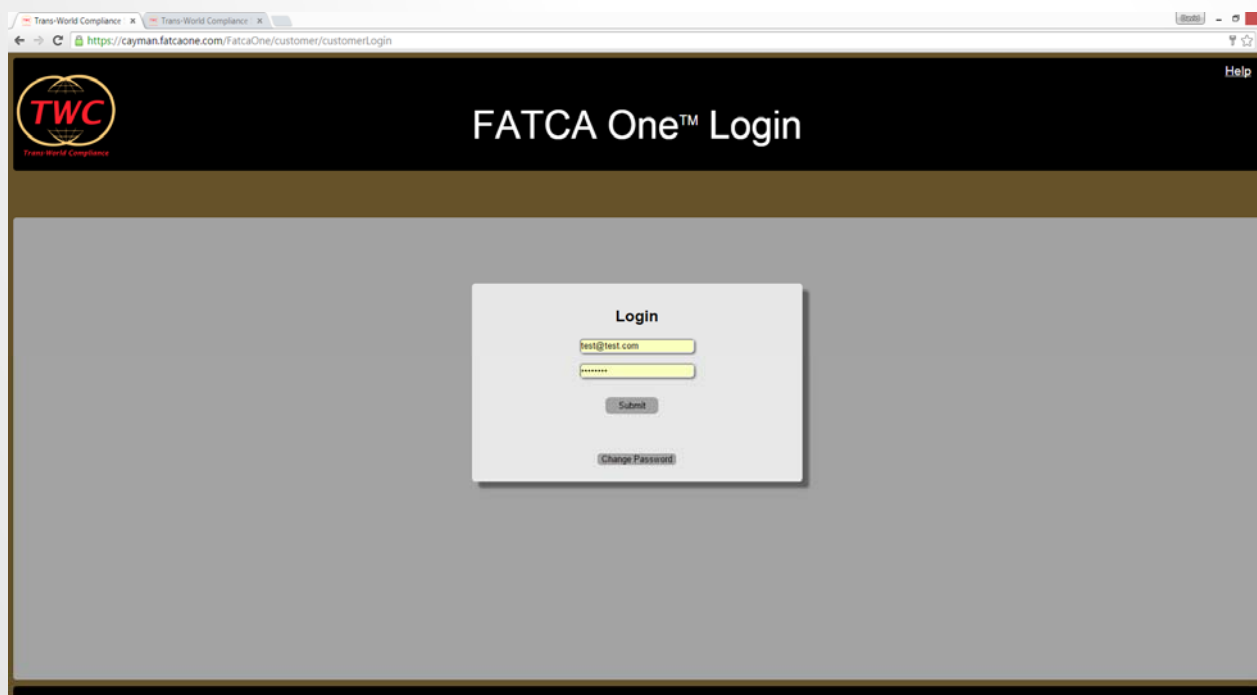
Unique ID	Account ID	Type	Insurance?	TaxYear	Currency Type	Amount
5653068	398702	Gross proceeds/Redemptions	N			10000
5653069	398703	Gross proceeds/Redemptions	N			30000
5653070	398704	Gross proceeds/Redemptions	N			5000
5653067	398702	Account Balance	N		USD	4000000
5653067	398703	Account Balance	N		USD	4000000
5653067	398704	Account Balance	N		USD	4000000

Hierarchy:

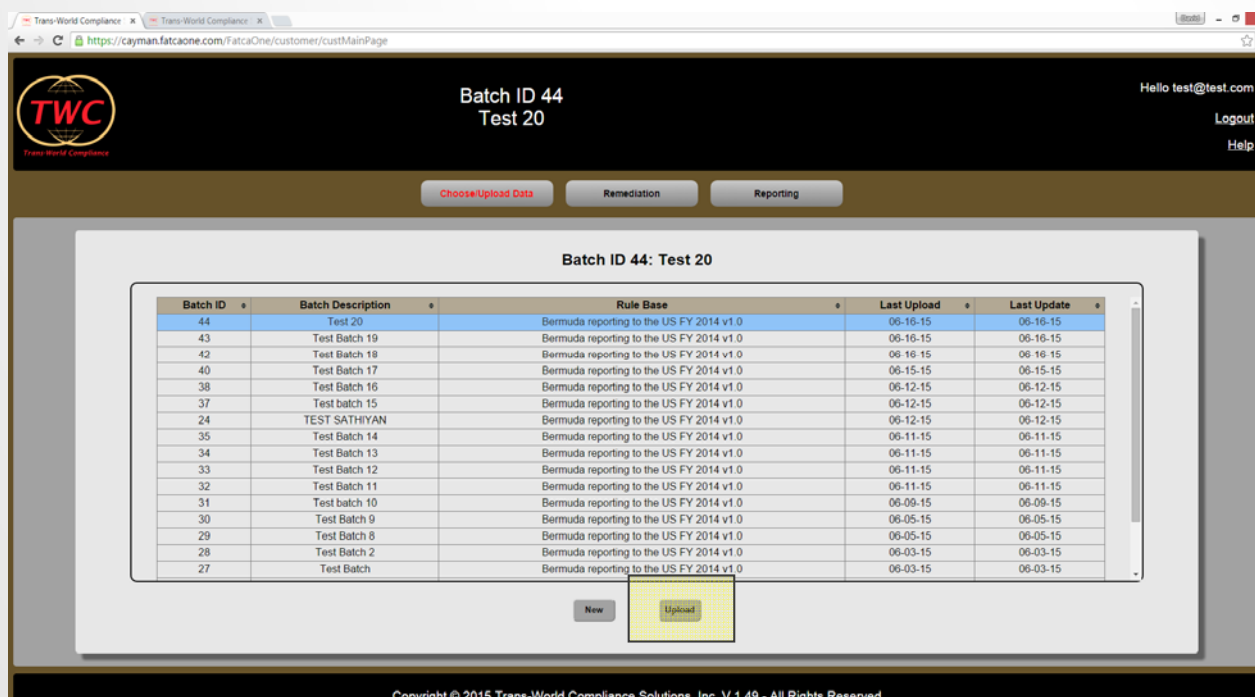
Parent Unique ID	Parent Secondary ID	Child Unique ID	Child Secondary ID	Comment	Reportable?
5653067		5653068			Y
5653067		5653069			Y
5653067		5653070			Y



FATCA ONE LOGIN



CHOOSE A BATCH



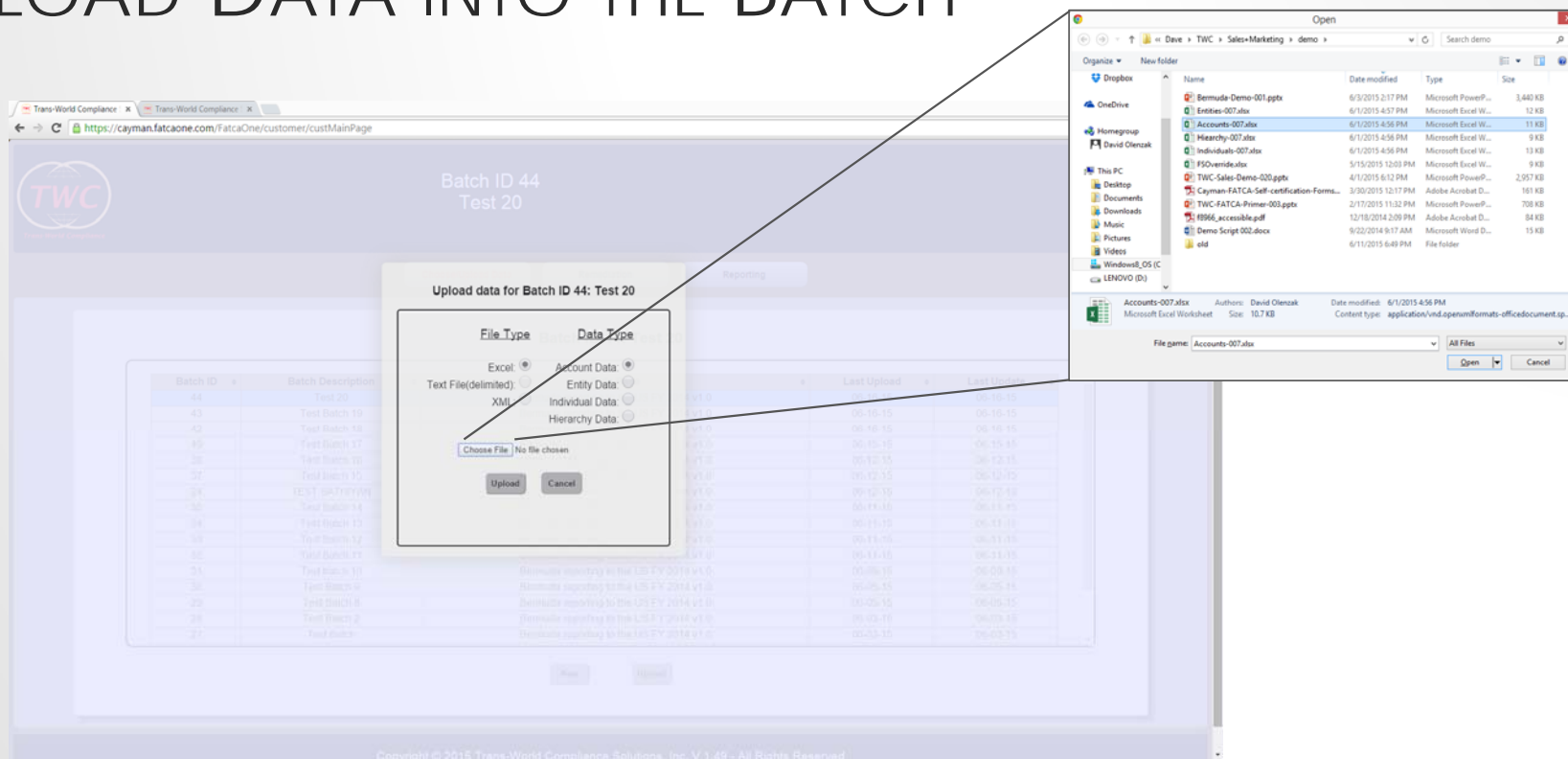
The screenshot shows a web browser window with the URL <https://cayman.fatcaone.com/fatcaOne/customer/custMainPage>. The page header includes the TWC logo, the text "Batch ID 44 Test 20", and a user greeting "Hello test@test.com". Below the header are three buttons: "Choose Upload Data" (highlighted in red), "Remediation", and "Reporting".

The main content area displays a table titled "Batch ID 44: Test 20". The table has the following columns: Batch ID, Batch Description, Rule Base, Last Upload, and Last Update. The table contains 18 rows of data, with the first row (Batch ID 44) highlighted in blue. Below the table are two buttons: "New" and "Upload" (highlighted in yellow).

Batch ID	Batch Description	Rule Base	Last Upload	Last Update
44	Test 20	Bermuda reporting to the US FY 2014 v1.0	06-16-15	06-16-15
43	Test Batch 19	Bermuda reporting to the US FY 2014 v1.0	06-16-15	06-16-15
42	Test Batch 18	Bermuda reporting to the US FY 2014 v1.0	06-16-15	06-16-15
40	Test Batch 17	Bermuda reporting to the US FY 2014 v1.0	06-15-15	06-15-15
38	Test Batch 16	Bermuda reporting to the US FY 2014 v1.0	06-12-15	06-12-15
37	Test batch 15	Bermuda reporting to the US FY 2014 v1.0	06-12-15	06-12-15
24	TEST SATHIYAN	Bermuda reporting to the US FY 2014 v1.0	06-12-15	06-12-15
35	Test Batch 14	Bermuda reporting to the US FY 2014 v1.0	06-11-15	06-11-15
34	Test Batch 13	Bermuda reporting to the US FY 2014 v1.0	06-11-15	06-11-15
33	Test Batch 12	Bermuda reporting to the US FY 2014 v1.0	06-11-15	06-11-15
32	Test Batch 11	Bermuda reporting to the US FY 2014 v1.0	06-11-15	06-11-15
31	Test batch 10	Bermuda reporting to the US FY 2014 v1.0	06-09-15	06-09-15
30	Test Batch 9	Bermuda reporting to the US FY 2014 v1.0	06-05-15	06-05-15
29	Test Batch 8	Bermuda reporting to the US FY 2014 v1.0	06-05-15	06-05-15
28	Test Batch 2	Bermuda reporting to the US FY 2014 v1.0	06-03-15	06-03-15
27	Test Batch	Bermuda reporting to the US FY 2014 v1.0	06-03-15	06-03-15

Copyright © 2015 Trans-World Compliance Solutions, Inc. V 1.49 - All Rights Reserved

UPLOAD DATA INTO THE BATCH



Batch ID 44
Test 20

Upload data for Batch ID 44: Test 20

File Type: Excel, Text File (delimited), XML

Data Type: Account Data, Entity Data, Individual Data, Hierarchy Data

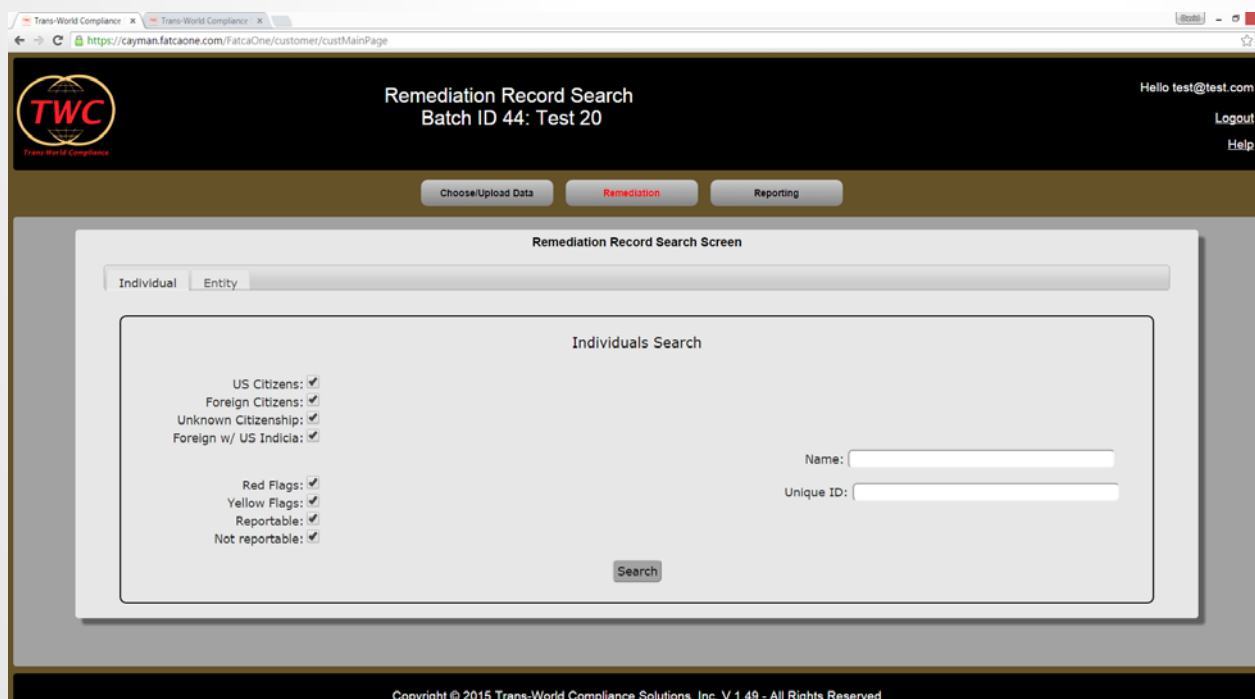
Choose File | No file chosen

Upload | Cancel

Batch ID	Batch Description	Last Upload	Next Upload
44	Test 20	06-10-15	06-10-15
43	Test Batch 19	06-10-15	06-10-15
42	Test Batch 18	06-10-15	06-10-15
41	Test Batch 17	06-15-15	06-15-15
40	Test Batch 16	06-12-15	06-12-15
39	Test Batch 15	06-12-15	06-12-15
38	Test Batch 14	06-12-15	06-12-15
37	Test Batch 13	06-11-15	06-11-15
36	Test Batch 12	06-11-15	06-11-15
35	Test Batch 11	06-11-15	06-11-15
34	Test Batch 10	06-08-15	06-08-15
33	Test Batch 9	06-05-15	06-05-15
32	Test Batch 8	06-02-15	06-02-15
31	Test Batch 7	06-02-15	06-02-15
30	Test Batch 6	06-02-15	06-02-15
29	Test Batch 5	06-02-15	06-02-15
28	Test Batch 4	06-02-15	06-02-15
27	Test Batch 3	06-02-15	06-02-15

File Explorer: Accounts-007.xlsx, Microsoft Excel Worksheet, Size: 10.7 KB, Date modified: 6/1/2015 4:56 PM

SELECT RECORDS TO VIEW



The screenshot shows a web browser window with the URL <https://cayman.fatcaone.com/fatcaOne/customer/custMainPage>. The page title is "Remediation Record Search" and the batch ID is "Batch ID 44: Test 20". The user is logged in as "Hello test@test.com". The interface includes a navigation bar with "Choose/Upload Data", "Remediation", and "Reporting" buttons. The main content area is titled "Remediation Record Search Screen" and has tabs for "Individual" and "Entity". The "Individuals Search" section contains the following filters, all of which are checked:

- US Citizens:
- Foreign Citizens:
- Unknown Citizenship:
- Foreign w/ US Indicia:
- Red Flags:
- Yellow Flags:
- Reportable:
- Not reportable:

There are also input fields for "Name:" and "Unique ID:" and a "Search" button.

Copyright © 2015 Trans-World Compliance Solutions, Inc. V 1.49 - All Rights Reserved

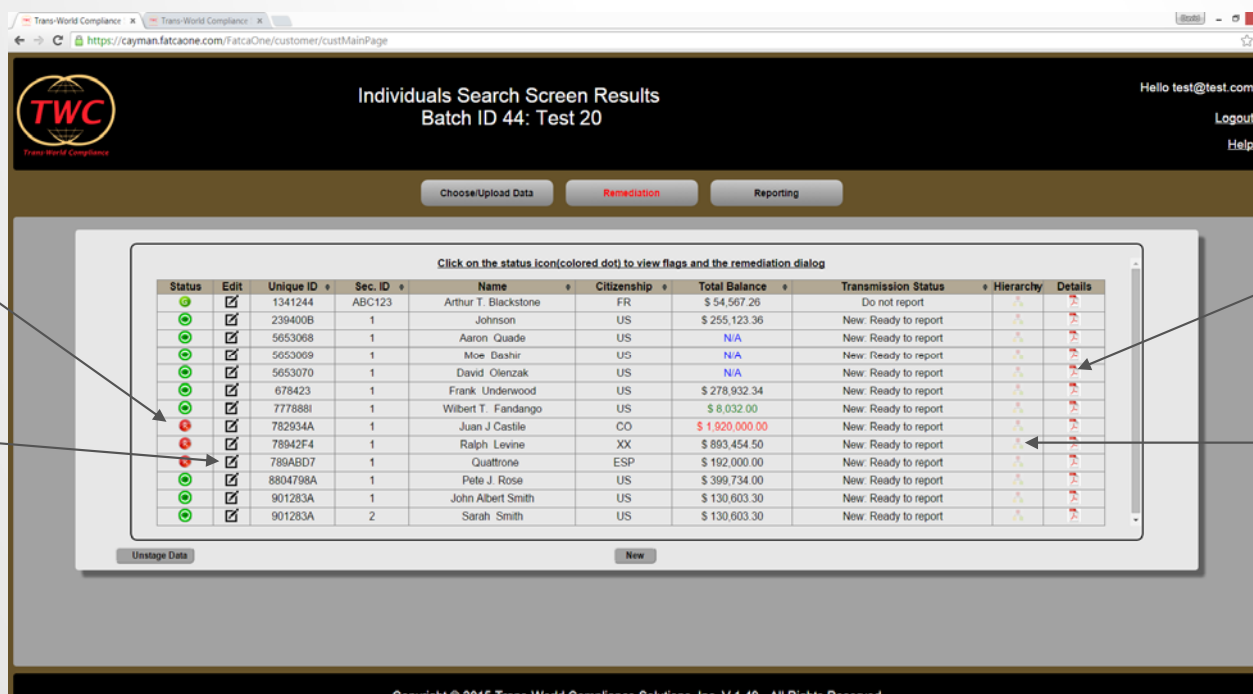
SEARCH RESULTS SCREEN

Row status, click to remediate. 1

Click to edit the record. 2

PDF Audit Report. 3

Click to show any hierarchy relationships. 4



Status	Edit	Unique ID	Sec. ID	Name	Citizenship	Total Balance	Transmission Status	Hierarchy	Details
●	<input type="checkbox"/>	1341244	ABC123	Arthur T. Blackstone	FR	\$ 54,567.26	Do not report		
●	<input checked="" type="checkbox"/>	239400B	1	Johnson	US	\$ 255,123.36	New Ready to report		
●	<input checked="" type="checkbox"/>	5653068	1	Aaron Cuade	US	N/A	New Ready to report		
●	<input checked="" type="checkbox"/>	5653069	1	Moe Bashir	US	N/A	New Ready to report		
●	<input checked="" type="checkbox"/>	5653070	1	David Olenzak	US	N/A	New Ready to report		
●	<input checked="" type="checkbox"/>	678423	1	Frank Underwood	US	\$ 278,932.34	New Ready to report		
●	<input checked="" type="checkbox"/>	777888I	1	Wilbert T. Fandango	US	\$ 8,032.00	New Ready to report		
●	<input checked="" type="checkbox"/>	782934A	1	Juan J Castile	CO	\$ 1,920,000.00	New Ready to report		
●	<input checked="" type="checkbox"/>	78942F4	1	Ralph Levine	XX	\$ 893,454.50	New Ready to report		
●	<input checked="" type="checkbox"/>	7894BD7	1	Quattrone	ESP	\$ 192,000.00	New Ready to report		
●	<input checked="" type="checkbox"/>	8804768A	1	Pete J. Rose	US	\$ 399,734.00	New Ready to report		
●	<input checked="" type="checkbox"/>	901283A	1	John Albert Smith	US	\$ 130,603.30	New Ready to report		
●	<input checked="" type="checkbox"/>	901263A	2	Sarah Smith	US	\$ 130,603.30	New Ready to report		

X = Will show detail in subsequent screens.

1 REMEDIATION SCREEN

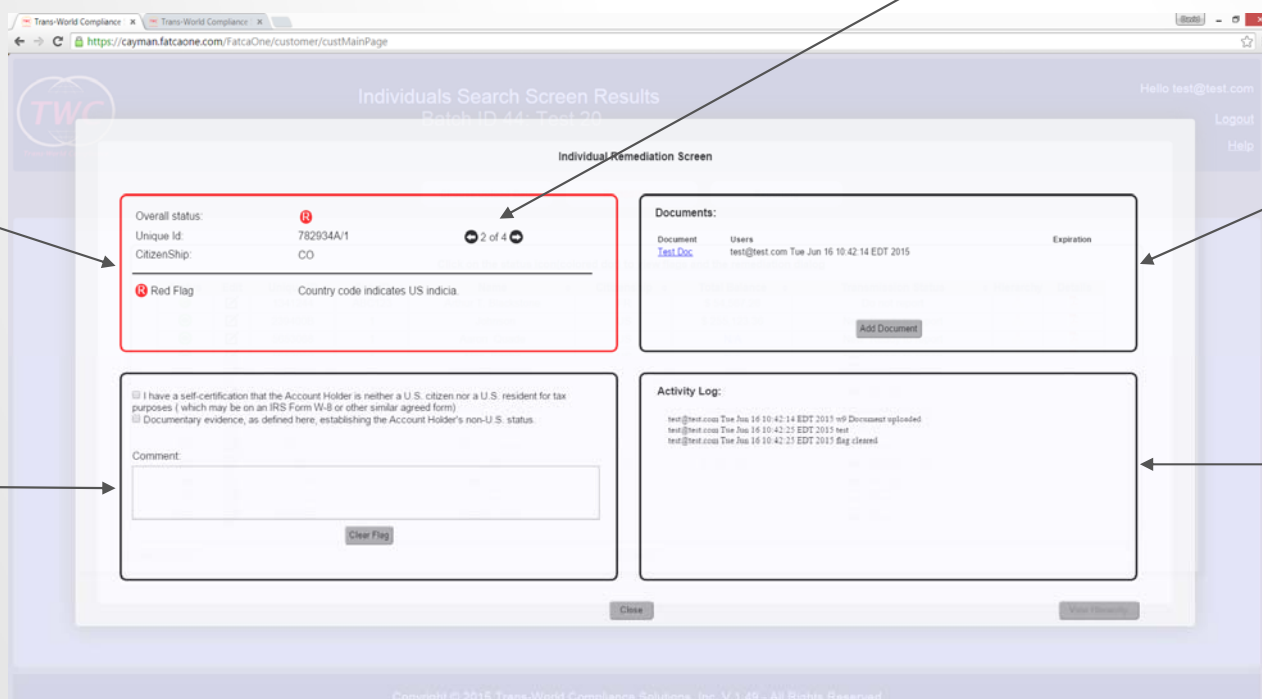
Page through the flags on this record.

Shows each flag, for example, this record has indicia of a US address.

Directions to the analyst for this flag.

Can upload and store files such as W-8, W-9, etc...

A complete audit log is maintained.



② EDIT SCREEN

Individual Record Details

Unique ID:

Secondary ID:

First Name:

Middle Name:

Last Name:

Date of birth(mm-dd-yyyy):

Tax ID Number:

Citizenship(two letter country code):

Citizenship Proof:

Phone:

Address:

City or Town:

Country(two letter country code):

Transmission Status:

Do not report

New: Ready to report

Void: Ready to be reported

Amended: Ready to be reported

Corrected: Ready to be reported

Pooled Reporting: Recalcitrant US Person

Pooled Reporting: Dormant Account

Pooled Reporting: Recalcitrant with US Indicia

Pooled Reporting: Recalcitrant account holders without U.S. Indicia

Test Comment
Tue Jun 16 05

Can edit data here or reload data to make updates and changes.

How to submit, void, corrected, and amended reports.

3 AUDIT PDF

All the data about the account.

Activity log of what compliance analysts did on the account and when.

Manager or relationship manager can physically sign-off on this report.

TransWorld Compliance		
Individual Information		
General Information		
Batch: Demo Batch 15	Rule Base: Bermuda to US for FY2014	Rule Base Version: 1.0
User: test@test.com	Printed: 6/16/15 11:18 AM	
Individual/Entity Information		
Name: Quattrone	Unique ID: 789ABD7	Secondary ID: 1
Status: Green (not reportable)	Reportable: Yes	Account Value: \$192,000.00
Individual/Entity Details		
Address(s):	ES	
Phone(s):		
DOB:		
Citizenship:	ESP	
Place of Birth:		
Tax ID:		
Instructions to Transfer?	Hold mail?	Power of Attorney?
Comments/Activity History		
test@test.com Tue Jun 16 11:17:55 EDT 2015:test		
test@test.com Tue Jun 16 11:17:55 EDT 2015:flag cleared		
test@test.com Tue Jun 16 11:18:26 EDT 2015:w9 Document uploaded		
Account Information		
Files		
User/Upload date	Description	Expiration Date (if any)
6/16/15 11:18 AM	test	10/10/10 12:00 AM
Relationship Manager Signature: _____ Manager Signature: _____		
©Copyright, 2014 Trans World Compliance FACTA One * www.TransWorldCompliance.com		
1		

④ DISPLAY HIERARCHY ASSOCIATIONS

Individuals Search Screen Results
Batch ID 70; Demo Batch 15

Choose/Upload [button] [button]

Click on the status icon to view (this opens) a transmission dialog

Status	Edit	Unique ID	Sec. ID	Name	Country	Amount	Transmission Status	Hierarchy	Details
	<input checked="" type="checkbox"/>	1341244	ABC123	Arthur T. Black			Do not report		
	<input checked="" type="checkbox"/>	239400B	1	John Smith			New Ready to report		
	<input checked="" type="checkbox"/>	5653068	1	Aaron Quade			New Ready to report		
	<input checked="" type="checkbox"/>	5653069	1	Moe Bashir			New Ready to report		
	<input checked="" type="checkbox"/>	5653070	1	David Olenzak			New Ready to report		
	<input checked="" type="checkbox"/>	678423	1	Frank Underwood			New Ready to report		
	<input checked="" type="checkbox"/>	777888	1	Wilbert T. Fandango	US	\$ 800,000.00	New Ready to report		
	<input checked="" type="checkbox"/>	782934A	1	Juan J Castile	CO	\$ 1,820,000.00	New Ready to report		
	<input checked="" type="checkbox"/>	78942F4	1	Ralph Levine	XX	\$ 893,454.50	New Ready to report		
	<input checked="" type="checkbox"/>	789ABD7	1	Quattrone	ESP	\$ 192,000.00	New Ready to report		

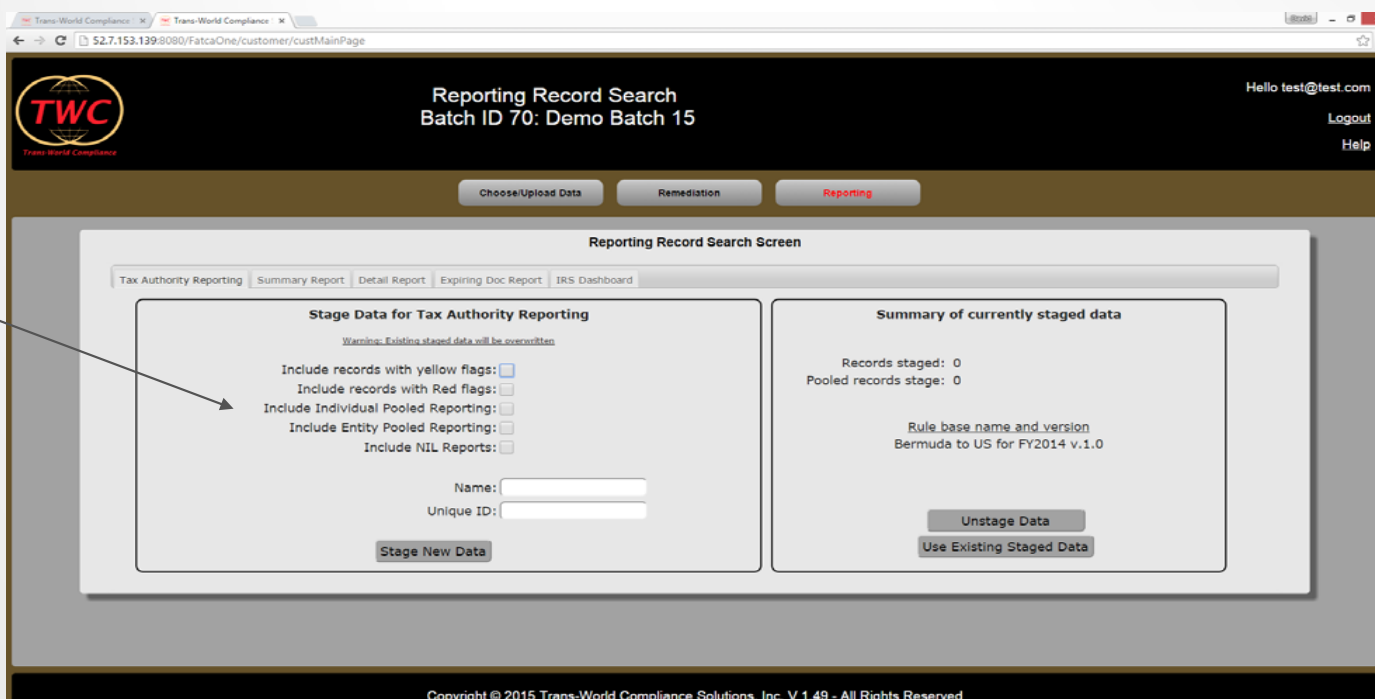
Hierarchy:

- +--XYZ Holding Company
- Aaron Quade -- not reportable
- David Olenzak -- not reportable
- Moe Bashir -- not reportable

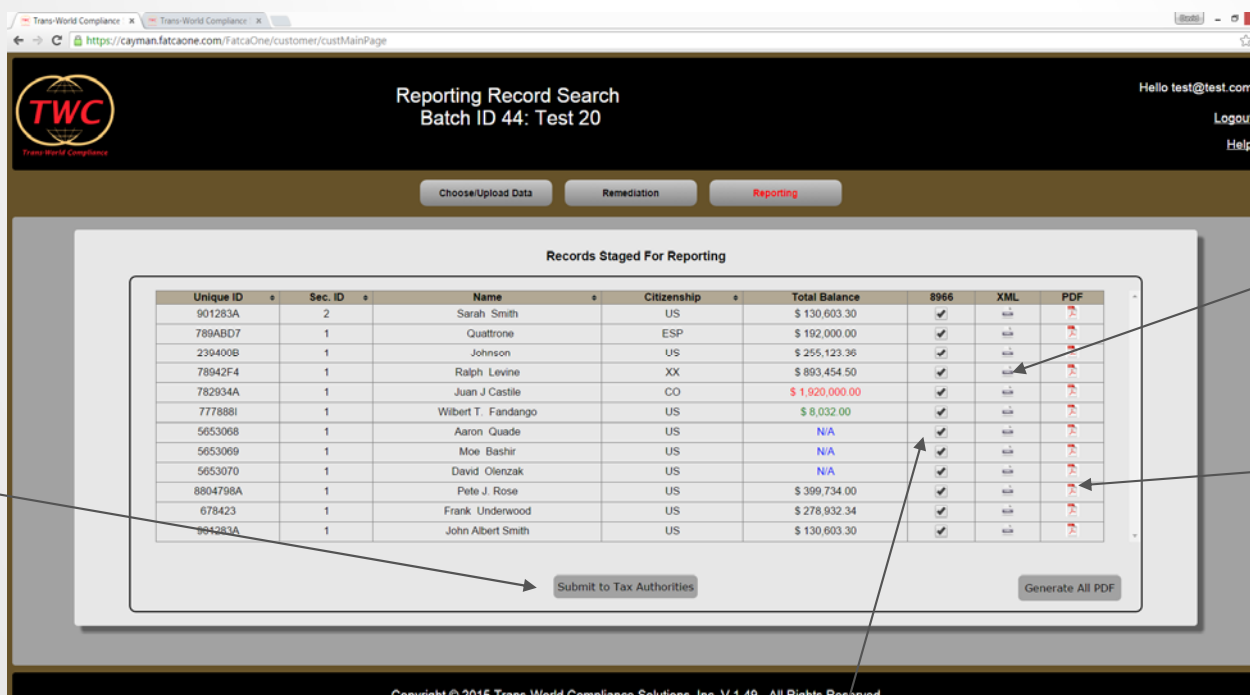
Close

TAX AUTHORITY REPORTING

FATCA One supports:
Can include missing data (yellow flags), recalcitrant individuals (red flags), pooled reporting, and Nil reporting.
Automatically includes records ready to be reported.



FATCA ONE IRS DASHBOARD



Reporting Record Search
Batch ID 44: Test 20

Choose/Upload Data Remediation Reporting

Records Staged For Reporting

Unique ID	Sec. ID	Name	Citizenship	Total Balance	8966	XML	PDF
901283A	2	Sarah Smith	US	\$ 130,603.30	<input checked="" type="checkbox"/>		
789ABD7	1	Quattrone	ESP	\$ 192,000.00	<input checked="" type="checkbox"/>		
239400B	1	Johnson	US	\$ 255,123.36	<input checked="" type="checkbox"/>		
78942F4	1	Ralph Levine	XX	\$ 893,454.50	<input checked="" type="checkbox"/>		
782934A	1	Juan J Castile	CO	\$ 1,920,000.00	<input checked="" type="checkbox"/>		
777888I	1	Wilbert T. Fandango	US	\$ 8,032.00	<input checked="" type="checkbox"/>		
5653068	1	Aaron Quade	US	N/A	<input checked="" type="checkbox"/>		
5653069	1	Moe Bashir	US	N/A	<input checked="" type="checkbox"/>		
5653070	1	David Olenzak	US	N/A	<input checked="" type="checkbox"/>		
8804798A	1	Pete J. Rose	US	\$ 399,734.00	<input checked="" type="checkbox"/>		
678423	1	Frank Underwood	US	\$ 278,932.34	<input checked="" type="checkbox"/>		
984283A	1	John Albert Smith	US	\$ 130,603.30	<input checked="" type="checkbox"/>		

Submit to Tax Authorities Generate All PDF

Click to submit data to the tax authorities.

3

Click this to view the XML created.

1

Click this to review the FATCA form.

2

Uncheck this to hold a record back from reporting.

1 VIEW THE XML CREATED

Form 8966	FATCA Report	OMB No. 1545-0046
Department of the Treasury Internal Revenue Service	Information about Form 8966 and its separate instructions is at www.irs.gov/form8966 .	2014
Check if report is being corrected, amended, or voided Corrected report <input type="checkbox"/> Amended report <input type="checkbox"/> Voided report <input type="checkbox"/>		
Part I Identification of Filer		
1 Name of Filer		
2 Number, street, and room or suite no. (If P.O. box, see instructions)		
3a City or town	3b State/Province/Region	3c Country (including postal code)
4 Global Intermediary Identification Number (GIIN)		
5 TIN		
6 Name of Sponsored Entity or Intermediary, if applicable		
7 Number, street, and room or suite no. (If P.O. box, see instructions)		
8a City or town	8b State/Province/Region	8c Country (including postal code)
9 GIIN		
10 TIN		
Part II Account Holder or Payee Information		
1 Name of Account Holder or Payee		
2 Number, street, and room or suite no. (If P.O. box, see instructions)		
3a City or town	3b State/Province/Region	3c Country (including postal code)
4 TIN		
5 If account holder or payee is an entity, check applicable box to specify the entity's type: <input type="checkbox"/> Owner (domestic FFI with specified U.S. ownership) <input type="checkbox"/> Domestic NFFE with substantial U.S. ownership <input type="checkbox"/> Non-Participating FFI <input type="checkbox"/> Specified U.S. Person <input type="checkbox"/> Check Reporting NFFE		
Part III Identifying Information of U.S. Owners that are Specified U.S. Persons		
1 Name of Owner		
2 Number, street, and room or suite no. (If P.O. box, see instructions)		
3a City or town	3b State/Province/Region	3c Country (including postal code)
4 TIN of Owner		
Part IV Financial Information		
1 Account Number		
2 Currency Code		
3 Account Balance		
4a Interest		
4b Gross proceeds/Redemptions		
4c Dividends		
4d Other		
For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 52149F Form 8966 (2014)		



```
<?xml version="1.0" encoding="UTF-8" standalone="true"?>
- <ns2:FATCA_OECD xsi:schemaLocation="urn:oe.cd:ties:fatca:v1 FatcaXML_v1.1.xsd" version="1.1"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xmlns:ns2="urn:oe.cd:ties:fatca:v1"
  xmlns="urn:oe.cd:ties:stffatcatypes:v1">
- <ns2:MessageSpec>
  <SendingCompanyIN>C34VPZ.00000.SP.840</SendingCompanyIN>
  <TransmittingCountry>US</TransmittingCountry>
  <ReceivingCountry>US</ReceivingCountry>
  <MessageType>FATCA</MessageType>
  <Warning/>
  <MessageRefId>Test | Test Group | test@test.com | Test 7 | 2015-05-30 | 17:22:56</MessageRefId>
  <ReportingPeriod>2015-05-30</ReportingPeriod>
  <Timestamp>2015-05-30T17:22:56.708-04:00</Timestamp>
</ns2:MessageSpec>
- <ns2:FATCA>
- <ns2:ReportingFI>
  <ResCountryCode>US</ResCountryCode>
  <TIN issuedBy="US">46-5297306</TIN>
  <Name>Transworld Compliance Inc.</Name>
- <Address>
  <CountryCode>US</CountryCode>
  <AddressFree>2145 Wolftrap Court Vienna, VA 22182</AddressFree>
</Address>
- <ns2:DocSpec>
  <ns2:DocTypeIndic>FATCA11</ns2:DocTypeIndic>
  <ns2:DocRefId>test@test.com | 52 | 901283A | 2 | 2015-05-30 | 17:22:56</ns2:DocRefId>
</ns2:DocSpec>
</ns2:ReportingFI>
- <ns2:ReportingGroup>
- <ns2:AccountReport>
  - <ns2:DocSpec>
    <ns2:DocTypeIndic>FATCA11</ns2:DocTypeIndic>
    <ns2:DocRefId>test@test.com | 52 | 901283A | 1 | 2015-05-30 | 17:22:56</ns2:DocRefId>
  </ns2:DocSpec>
  <ns2:AccountNumber>401-0001</ns2:AccountNumber>
```



② PDF 8966 FATCA FORM FOR APPROVAL

PDF 8966 Representation of all the data. This may not be the form you submit, but is convenient to show all the data being submitted.

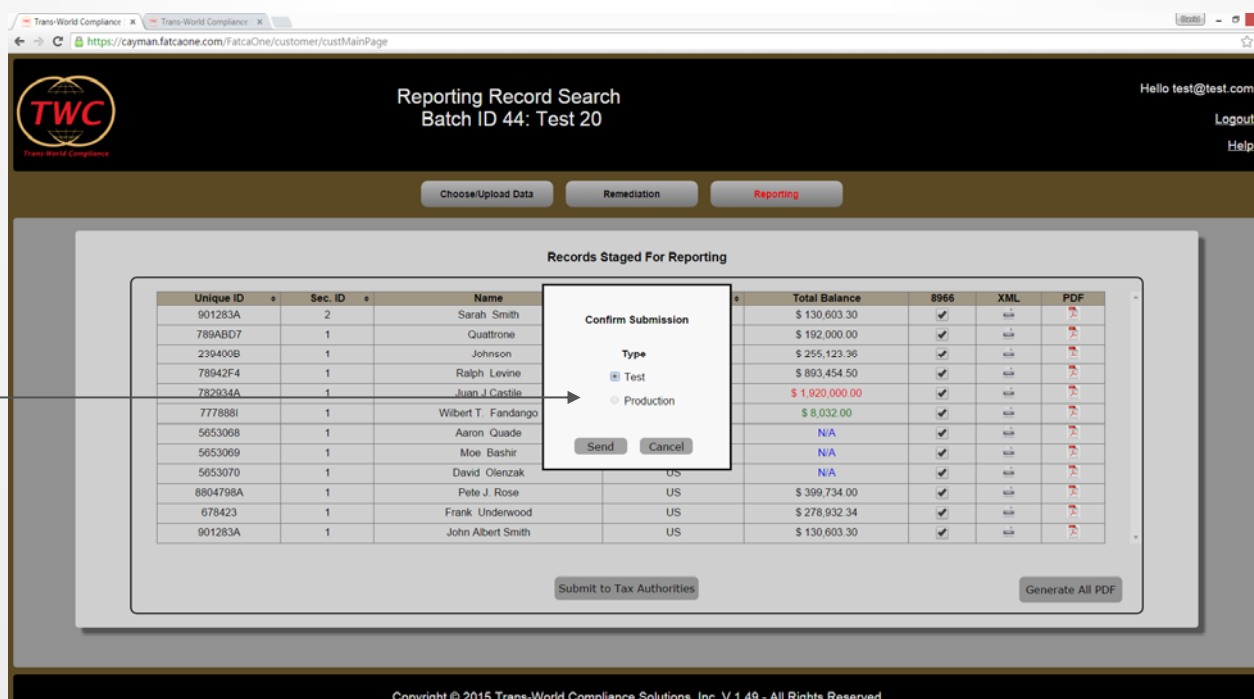
These can be saved on disk or printed for your records.

Form 8966	FATCA Report	OMB No. 1545-2046
Department of the Treasury Internal Revenue Service	Information about Form 8966 and its separate instructions is at www.irs.gov/form8966 .	2014
Check if report is being corrected, amended, or voided		
Corrected report <input type="checkbox"/> Amended report <input type="checkbox"/> Voided report <input type="checkbox"/>		
Part I Identification of Filer		
1 Name of Filer Test		
2 Number, street, and room or suite no. (if P.O. box, see instructions) 101 South Shore Road		
3a City or town Southampton	3b State/Province/Region	3c Country (including postal code) Bermuda
4 Global Intermediary Identification Number (GIIN) ABCDEF_00000_TA_840	5 TIN 35-9876329	
6 Name of Sponsored Entity or Intermediary, if applicable		
7 Number, street, and room or suite no. (if P.O. box, see instructions)		
8a City or town	8b State/Province/Region	8c Country (including postal code)
9 Global Intermediary Identification Number (GIIN)	10 TIN	
Part II Account Holder or Payee Information		
1 Name of Account Holder or Payee Wilbert T. Fandango		
2 Number, street, and room or suite no. (if P.O. box, see instructions) Rue de Rivage		
3a City or town Cala	3b State/Province/Region	3c Country (including postal code) FR
4 TIN 60765.0		
5 If account holder or payee is an entity, check applicable box to specify the entity's type:		
<input type="checkbox"/> Owner-Documented FFI with specified U.S. owner(s)	<input type="checkbox"/> Passive NFFE with substantial U.S. owner(s)	
<input type="checkbox"/> Non-Participating FFI	<input type="checkbox"/> Specified U.S. Person	
<input type="checkbox"/> Direct Reporting NFFE		
For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 55748F Form 8966 (2014)		

Form 8966 (2014) Page 2		
Part III Identifying Information of U.S. Owners that are Specified U.S. Persons		
1 Name of Owner		
2 Number, street, and room or suite no. (if P.O. box, see instructions)		
3a City or town	3b State/Province/Region	3c Country (including postal code)
4 Title of Owner		
Part IV Financial Information		
1 Account Number T88Y	2 Currency Code USD	
3 Account Balance \$8,032.00		
4a Interest	4c Gross proceeds/Redemptions	
4b Dividends	4d Other	
Part V Pooled Reporting Type		
1 Check applicable Pooled Reporting Type (check only one):		
<input type="checkbox"/> Recalcitrant account holders with U.S. indicia	<input type="checkbox"/> Recalcitrant account holders without U.S. indicia	
<input type="checkbox"/> Dormant Accounts	<input type="checkbox"/> Non-participating FFI	
<input type="checkbox"/> Recalcitrant account holders that are U.S. persons	<input type="checkbox"/> Recalcitrant account holders that are passive NFFEs	
2 Number of Accounts	3 Aggregate payment amount	
4 Aggregate account balance	5 Currency Code	
Form 8966 (2014)		

FATCA ONE IRS DASHBOARD

Test or Production:
Allows testing of the
process.



The screenshot shows the FATCA ONE IRS Dashboard interface. At the top, it displays the TWC logo, the text 'Reporting Record Search Batch ID 44: Test 20', and the user 'Hello test@test.com'. Below this are navigation buttons for 'Choose/Upload Data', 'Remediation', and 'Reporting'. The main content area is titled 'Records Staged For Reporting' and contains a table with columns for Unique ID, Sec. ID, Name, Total Balance, 8966, XML, and PDF. A 'Confirm Submission' dialog box is open over the table, showing 'Type' options: 'Test' (selected) and 'Production'. The dialog has 'Send' and 'Cancel' buttons. At the bottom of the table area are 'Submit to Tax Authorities' and 'Generate All PDF' buttons.

Unique ID	Sec. ID	Name	Total Balance	8966	XML	PDF
901283A	2	Sarah Smith	\$ 130,603.30	✓	📄	📄
789ABD7	1	Quattrone	\$ 192,000.00	✓	📄	📄
239400B	1	Johnson	\$ 255,123.36	✓	📄	📄
78942F4	1	Ralph Levine	\$ 893,454.50	✓	📄	📄
782934A	1	Juan J. Castile	\$ 1,920,000.00	✓	📄	📄
777888I	1	Wilbert T. Fandango	\$ 8,032.00	✓	📄	📄
5653068	1	Aaron Quade	N/A	✓	📄	📄
5653069	1	Moe Bashir	N/A	✓	📄	📄
5653070	1	David Olenzak	N/A	✓	📄	📄
8804798A	1	Pete J. Rose	\$ 399,734.00	✓	📄	📄
678423	1	Frank Underwood	\$ 278,932.34	✓	📄	📄
901283A	1	John Albert Smith	\$ 130,603.30	✓	📄	📄

REPORTING IRS DASHBOARD

IRS Dashboard Message Details

Message ID : TWC | Development | test@test.com | Test batch 15 | 2015-06-12 | 11:23:28
 Sent : Fri Jun 12 11:23:28 EDT 2015

PDF	Unique ID	Account Holder /Substantial US owner	Doc ID
PDF	7778881	Wilbert T. Fandango/Wilbert T. Fandango	test@test.com 37 7778881 1 2015-06-12 11:23:28

Close

Shows records in the data batch submitted.

IDES System technical feedback.

Reporting Record Search
Batch ID 37: Test batch 15

Choose Upload Data Remediation Reporting

Reporting Record Search Screen

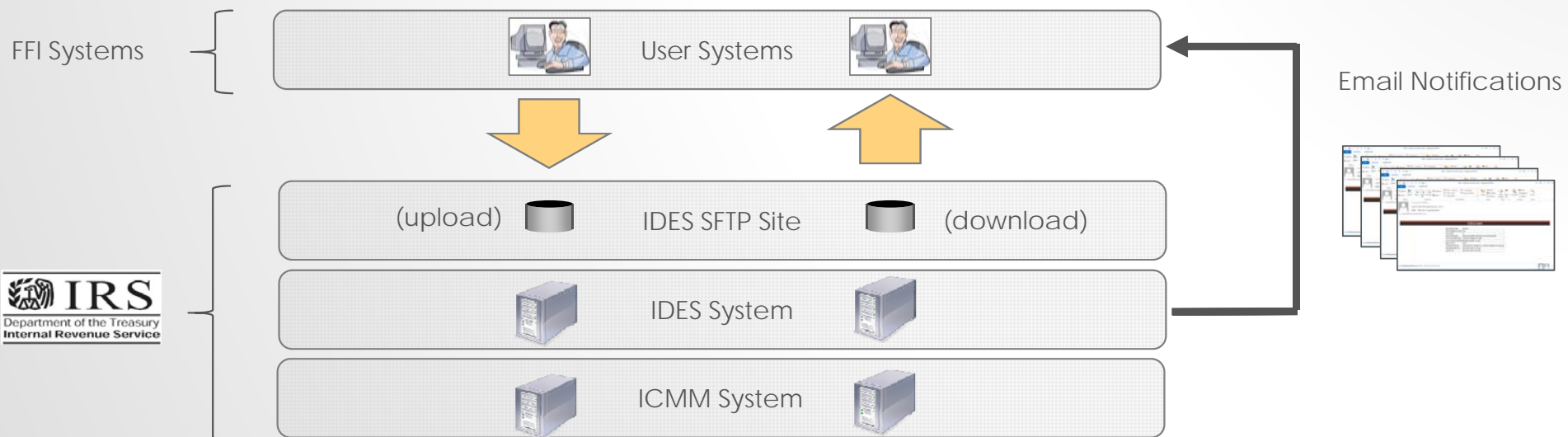
Tax Authority Reporting Summary Report Detail Report Expiring Doc Report IRS Dashboard

IRS Dashboard

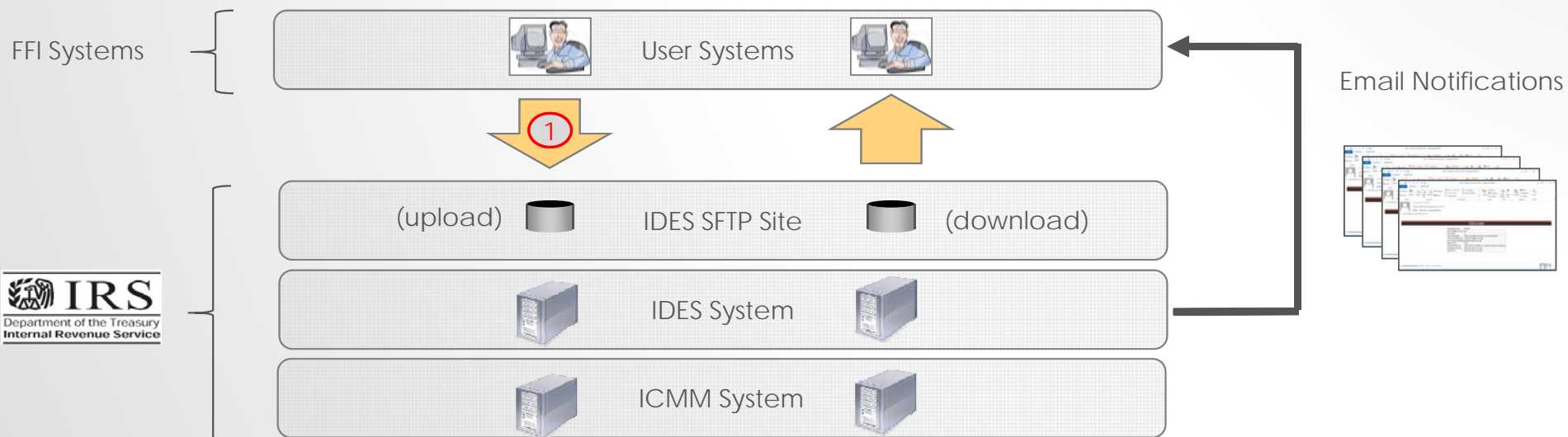
Message ID	Date/Time sent	T/P	E-mails	Messages
TWC Development test@test.com Test batch 15 2015-06-12 11:23:28	Fri Jun 12 11:23:28 EDT 2015	P	●	●
TWC Development test@test.com Test batch 15 2015-06-12 11:31:13	Fri Jun 12 11:31:13 EDT 2015	P	●	●

ICMM freeform XML messages. You'll get an email to check the IRS dashboard when you get one of these.

IRS WORKFLOW

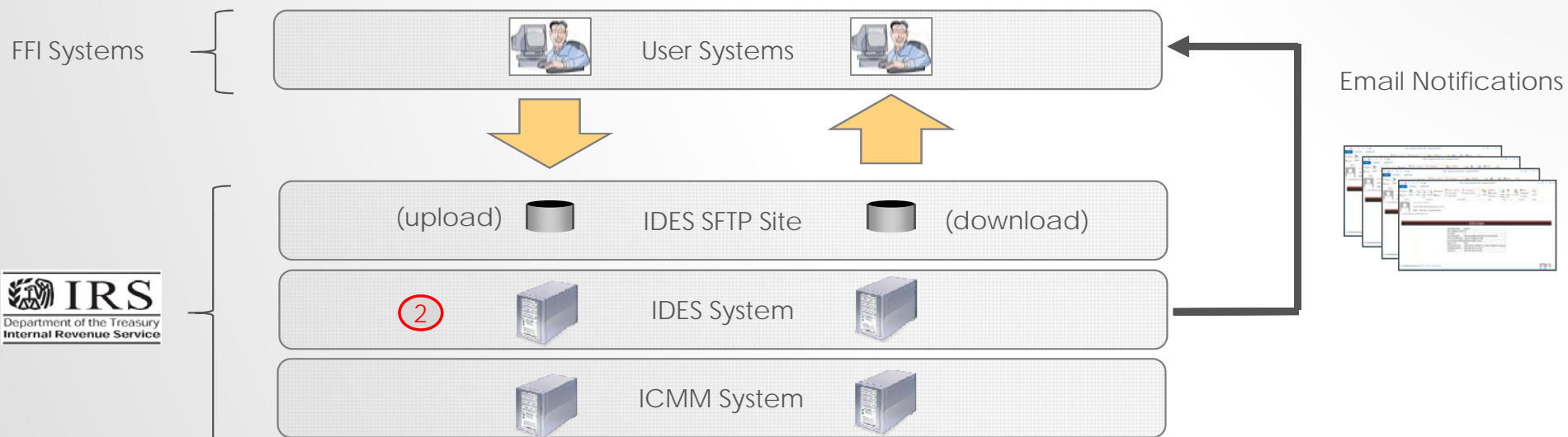


IRS WORKFLOW



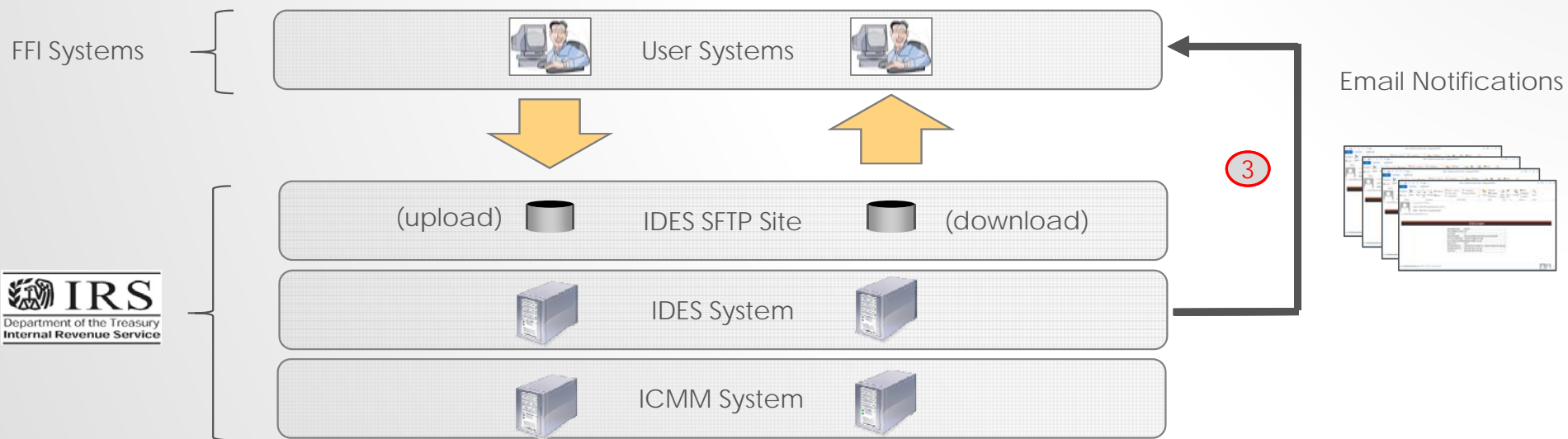
① FFI uploads encrypted, enveloped, signed, XML data to IRS SFTP site.

IRS WORKFLOW



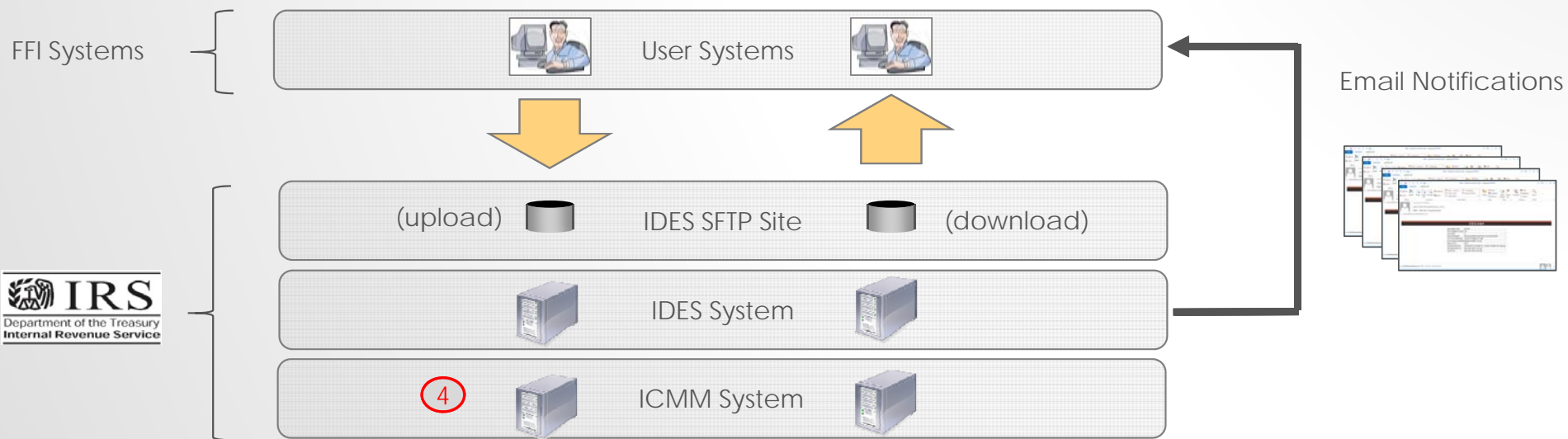
② IDES System does a technical analysis of the data. Is it encrypted, enveloped, etc..?

IRS WORKFLOW



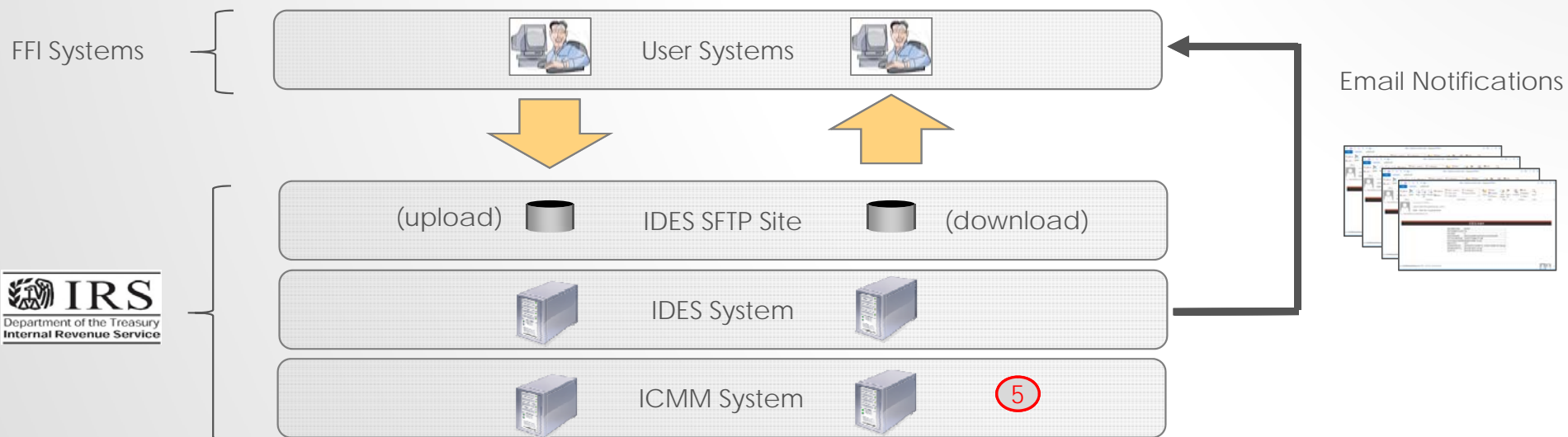
③ IDES sends an email with a code (error code or RC001 if everything is OK).

IRS WORKFLOW



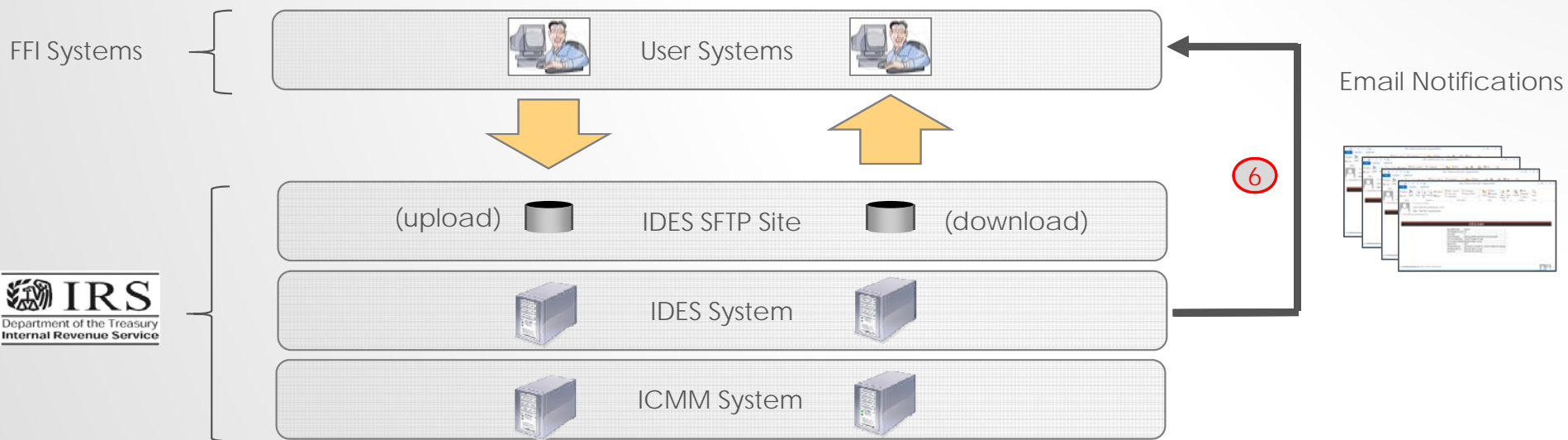
④ IDES passes the data on ICMM for further analysis.

IRS WORKFLOW



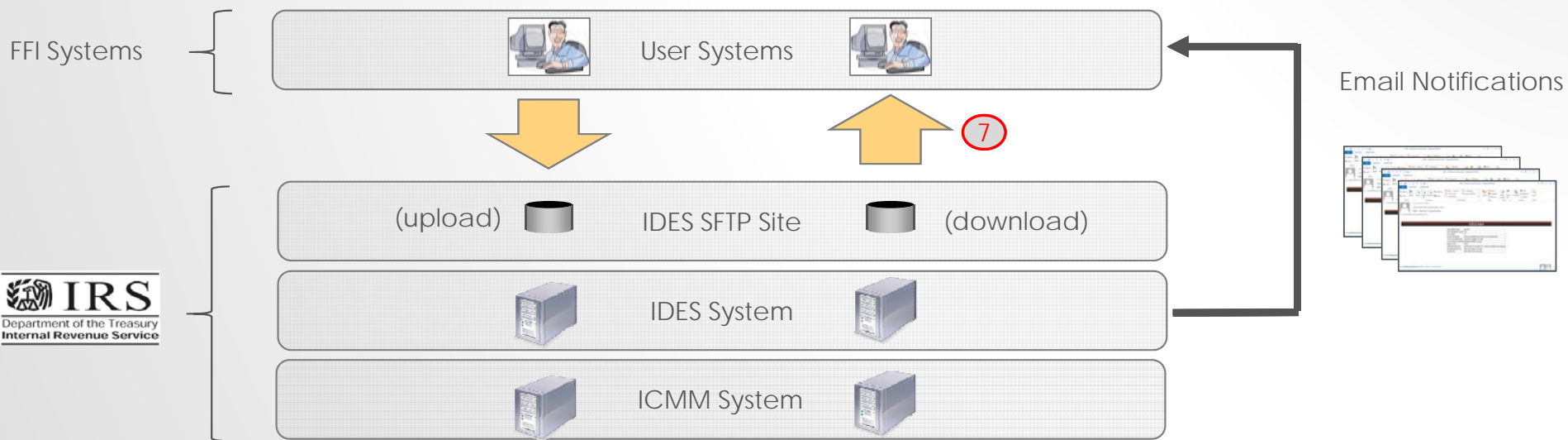
⑤ ICMM creates a XML message and places it on the SFTP download area

IRS WORKFLOW



6 ICMM tells IDES to send the FFI user a email with a RC code that there is a file to be downloaded and decrypted.

IRS WORKFLOW



⑦ The user downloads the message, reverses the process of enveloping, decrypting, signing, etc... to decipher the message. Usually "success" but could be any free form correspondence.



FATCA ONE IRS DASHBOARD

The screenshot shows a web browser window with the URL <https://cayman.fatcaone.com/FatcaOne/customer/custMainPage>. The page title is "Reporting Record Search Batch ID 37: Test batch 15". The user is logged in as "Hello test@test.com". The page has a navigation bar with "Choose/Upload Data", "Remediation", and "Reporting" buttons. Below this is a "Reporting Record Search Screen" with tabs for "Tax Authority Reporting", "Summary Report", "Detail Report", "Expiring Doc Report", and "IRS Dashboard". The "IRS Dashboard" tab is active, showing a table of reporting records.

Message ID	Date/Time sent	T/P	E-mails	Messages
TWC Development test@test.com Test_batch_15 2015-06-12 11:23:28	Fri Jun 12 11:23:28 EDT 2015	P	●	●
TWC Development test@test.com Test_batch_15 2015-06-12 11:31:13	Fri Jun 12 11:31:13 EDT 2015	P	●	●

Copyright © 2015 Trans-World Compliance Solutions, Inc. V.1.49 - All Rights Reserved

Within the Reporting Module, click IRS Dashboard to see all data batches submitted, see the details of those batches, and the status.

FATCA ONE IRS DASHBOARD

IDES Email Alerts

RC001 :Successful upload (including all IDES validation checks)

IDES Transmission Id:840FzRy8zr8jqIMrDtPOMefZQWahYAMX

FATCA Sender Id:C34VPZ.00000.SP.840

Sender File Id:20150612T112403628Z_C34VPZ.00000.SP.840.zip

Sender File Timestamp:2015-06-12T11:24:03Z

Alert Timestamp:2015-06-12T15:25:03Z

Close

Hello test@test.com

Logout

Help

Time-sent	T/P	Emails	Messages
23:28 EDT 2015	F	●	●
31:13 EDT 2015	P	●	●

Reserved

ICMM Messages

Message : The IRS has determined that the referenced file is in a valid format. At this time individual records have not yet been processed. Further communication will be sent if errors are detected during record processing. This is for information purposes only, and requires no response or action by you.

Action Request :

Action Due Date :

Timestamp : 2015-06-12T15:27:31Z

Notification Ref ID : 4496

Sender ID : 000000.00000.TA.840

IDES Transmission ID : 840FzRy8zr8jqIMrDtPOMefZQWahYAMX

IDES Sending Timestamp : 2015-06-12T15:25:36.036Z


IDES Sender File ID : 20150612T112403628Z_C34VPZ.00000.SP.840.zip

Close

IRS messages can include any type of communication immediately after submission or at any time in the future.

You will get an email to check your IRS dashboard when there is a message waiting for you.

VIEWING PREVIOUSLY SUBMITTED RECORDS



Individuals Search Screen Results
Batch ID 37: Test batch 15

Submitted 8966 FATCA Reports
Client JJ Quattrone Uniquelid: 789ABD7
New: 06/12/2015 11:31:13 AM

Status	Edit	Unique ID	Sec. ID	Name	Citizenship	Total Balance	Transmission Status	Hierarchy	Details
⊕	<input checked="" type="checkbox"/>	1341244	1	Arthur T. Blackstone	US	\$ 54,567.26	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	239400B	1	Phillip Johnson	US	\$ 255,123.36	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	5653068	1	Aaron Quade	US	\$ 192,000.00	Staged 06/12/2015 11:30 AM		
⊖	<input checked="" type="checkbox"/>	5653069	1	Moe Bashir	US	\$ 2,316,734.00	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	5653070	1	David Olenzak	US	\$ 619,832.38	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	678423	1	Frank Underwood	US	\$ 812,879.00	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	777888	1	Wilbert T. Fandango	US	\$ 6,032.00	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	782934A	1	Juan Gastle	US	\$ 893,454.50	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	78942F4	1	Ralph Levine	US	\$ 68,979.00	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	789ABD7	ABC123	JJ Quattrone	US	\$ 270,000.00	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	8804798A	1	Pete J. Rose	US	\$ 790,879.00	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	901283A	1	John Albert Smith	US	\$ 103,603.30	Staged 06/12/2015 11:30 AM		
⊕	<input checked="" type="checkbox"/>	901283A	2	Sarah Smith	US	\$ 103,603.30	Staged 06/12/2015 11:30 AM		

Of course, you can always view any data submitted exactly as it was submitted to the tax authorities.

If the record was voided, amended, or corrected, all those records will show too.



CONTACTING TRANS-WORLD COMPLIANCE Q&A

David Olenzak
President
703-298-8678
dolenzak@TransWorldCompliance.com
www.TransWorldCompliance.com

Notice:
Trans-World Compliance, Inc. software is provided as a tool to assist clients in adherence to US FATCA regulations and any advice provided by Trans-World Compliance, Inc. software and employees should not be construed as legal or professional accounting advice in regards to the legal requirements to adhere with any international tax regulations.