

Trans-World Compliance FATCA One
Individual Information

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| General Information | | |
| Batch: Dave Test Demo | Rule Base: Cayman to US 2014 | Rule Base Version: 1.2 |
| User: dolenzak | Printed: -09-10-2014 8:45AM | |
| Individual/Entity Information | | |
| Name: John Albert Smith | Unique ID: 901283A | Secondary ID: 001 |
| Status: Green Flag | Reportable: Yes | Account Value: \$536,894.23 |
| Individual/Entity Details | | |
| Address(s): | 123 Main Street Rockville, MD 20850 USA | |
| Phone(s): | 301-438-9083 001-46-720-236-978 | |
| DOB: | | |
| Place of Birth: | Lyon, France | |
| Citizenship: | FR | |
| Tax ID: | | |
| Instructions to Transfer? <input type="checkbox"/> | Hold mail? <input type="checkbox"/> | Power of Attorney? <input type="checkbox"/> |
| Comments/Activity History | | |
| dolenzak 09-10-2014 08:00AM Comment: Researching the situation and will reach out to the client. | | |
| dolenzak 09-12-2014 12:15PM: Uploaded "W-8" | | |
| dolenzak 09-12-2014 12:17PM: Uploaded "Scanned French Passport" | | |
| dolenzak 09-12-2014 12:20PM: checked certification | | |
| dolenzak 09-12-2014 12:24PM: checked documentary evidence | | |
| dolenzak 09-12-2014 12:28PM: Cleared Flag to Green | | |
| Account Information | | |
| Account Number | Type | Value |
| 932403A | Account Balance | 532,354.53 (USD) |
| Files | | |
| User/Upload date | Description | Expiration Date (if any) |
| dolenzak; 09-01-2014 8:42AM | W-8 form | 01-01-2017 |
| dolenzak; 09-01-2014 8:45AM | French Passport | 07-08-2020 |
| dolenzak; 09-01-2014 8:47AM | Letter of explanation | |

Relationship Manager Signature: _____

Manager Signature: _____